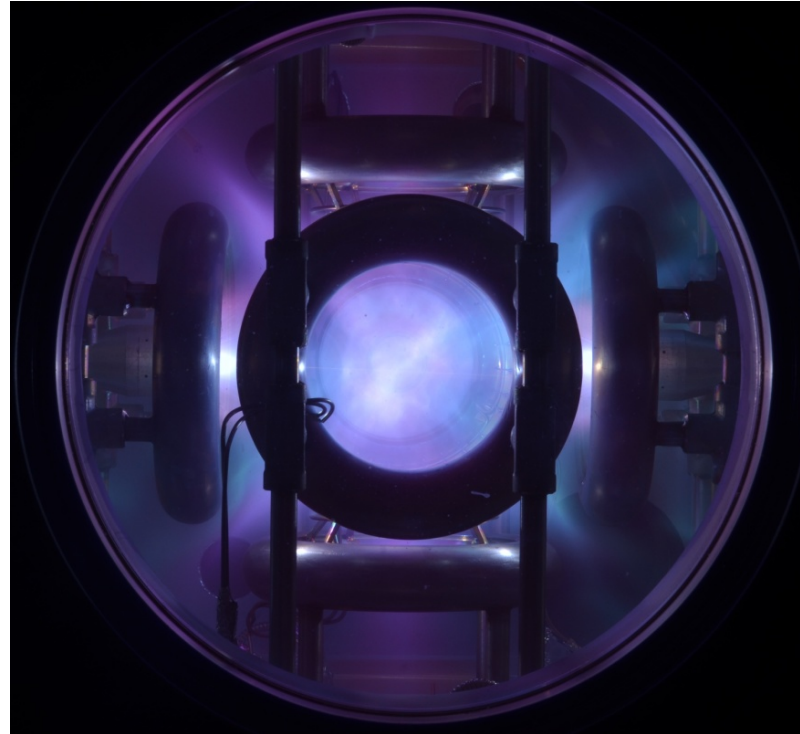


# Polywell Fusion

## Electrostatic Fusion in a Magnetic Cusp

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Jaeyoung Park  
Energy Matter Conversion Corporation (EMC2)  
Fusion Power Associates Meeting (December 17, 2014)  
Support from US Navy Contract: N68936-09-C-0125

# Contributions from EMC2 Personnel

## 4 Scientists, 5 Engineers/Technicians, 2 Support

Mike Skillicorn: Design, construction and maintenance of WB-8 device

Paul Sieck: WB-8 operation, control and safety system, and DAQ

Dustin Offermann: Plasma diagnostics – Spectroscopy, lasers and x-ray

Eric Alderson: Plasma diagnostics – Probes and particle diagnostics

Mike Wray: Vacuum and gas handling system and lab Management

Noli Casama: Electrical power system

Kevin Davis: Microwave system and HV pulse power operation

Andy Sanchez: Operation Support and Numerical Simulation

Grace Samodal: Business/Operations Management

Yoko Corniff: Accounting and HR

Jaeyoung Park: Lead the WB-8 project

EMC2 works closely with Dr. Nicholas A. Krall on Polywell theory

# Contributors to the Polywell Fusion Concept

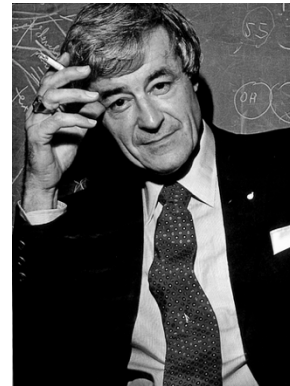
Philo  
Farnsworth  
Electric fusion  
& inventor of  
television



Harold Grad  
MHD theory  
and Cusp  
confinement



James Tuck: Picket Fence, Elmore-  
Tuck-Watson virtual cathode, &  
Explosive focus for A-bomb



Robert Bussard  
Polywell Fusion,  
Nuclear Rocket,  
Bussard Ramjet

# Polywell Fusion Principle

Combines two good ideas in fusion research: Bussard (1985)

- a) **Electrostatic fusion:** High energy electron beams form a potential well, which accelerates and confines ions.
- b) **High  $\beta$  magnetic cusp:** High energy electron confinement in high  $\beta$  cusp: Bussard termed this as “wiffle-ball” (WB).

Electrostatic fusion provides

- Ion heating
- Ion confinement

for high  $\beta$  cusp

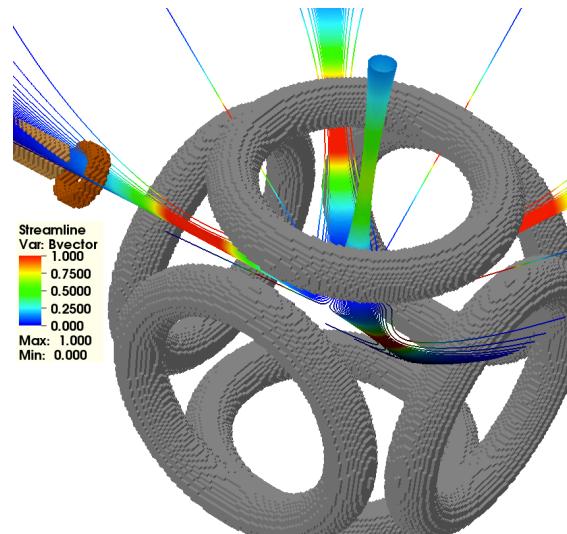
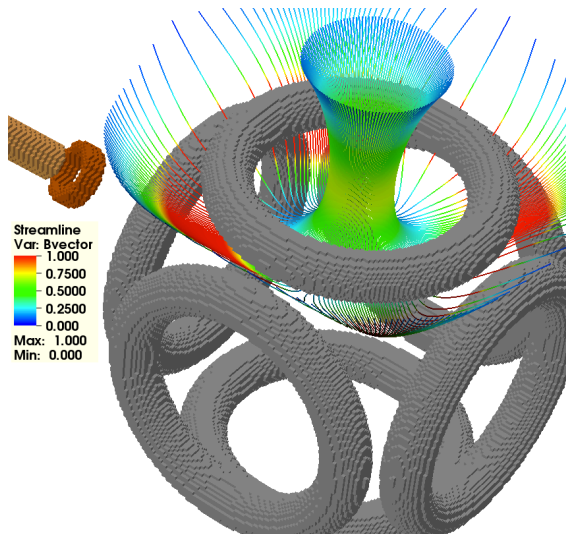
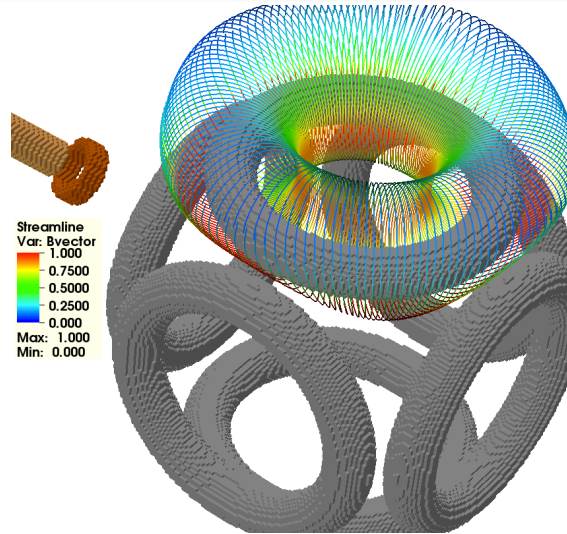
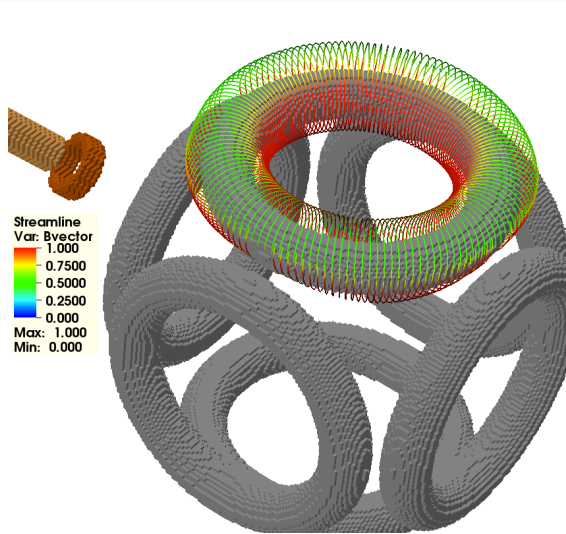
High  $\beta$  cusp provides

- High energy electron confinement

for electrostatic fusion



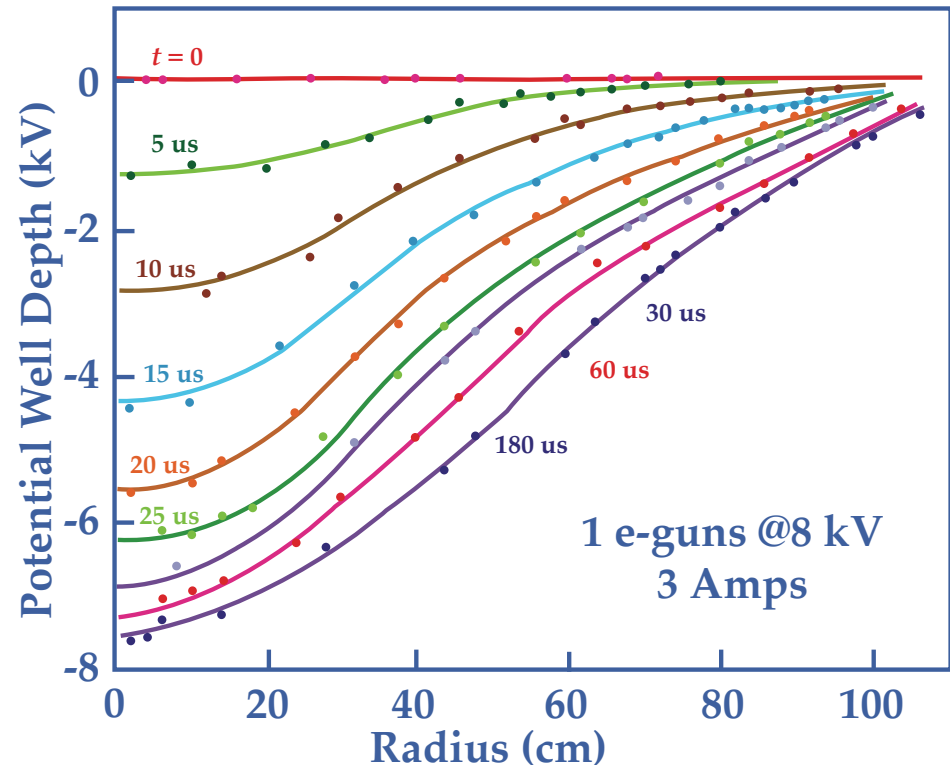
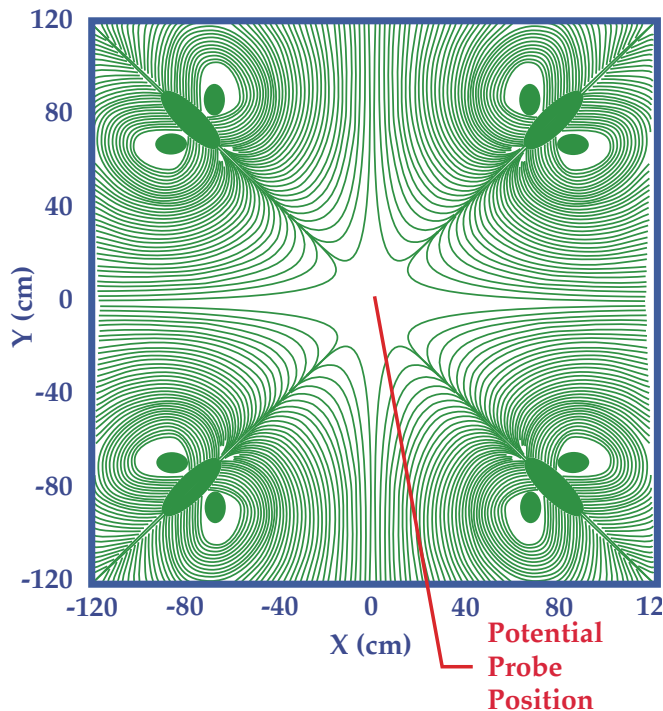
# Polywell Cusp Magnetic Fields



- *6 coil Polywell cusp magnetic field lines*
- *Electron beam injection along the cusp openings*

# Potential Well by e-beam Injection (1995)

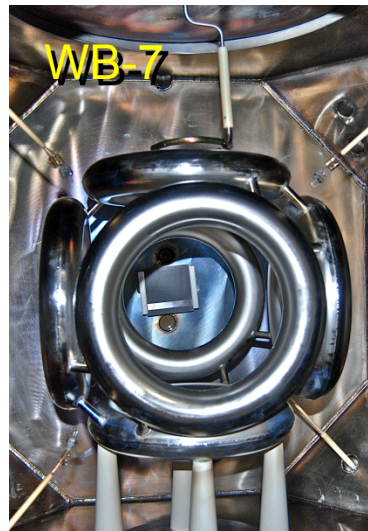
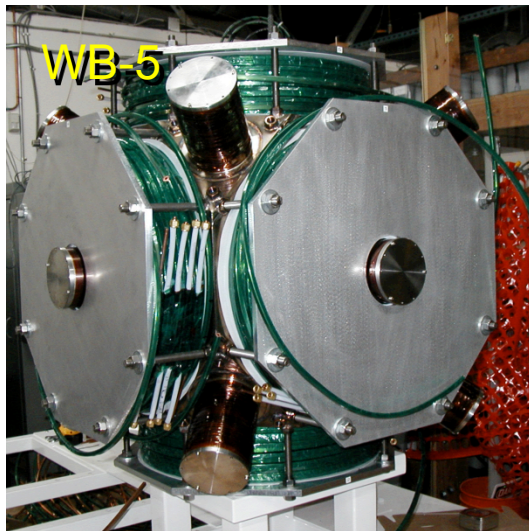
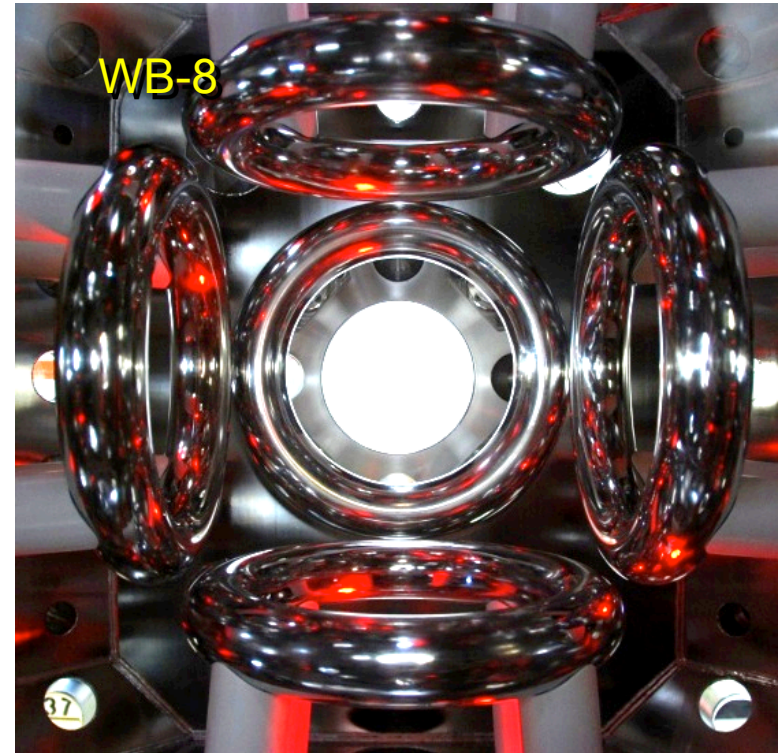
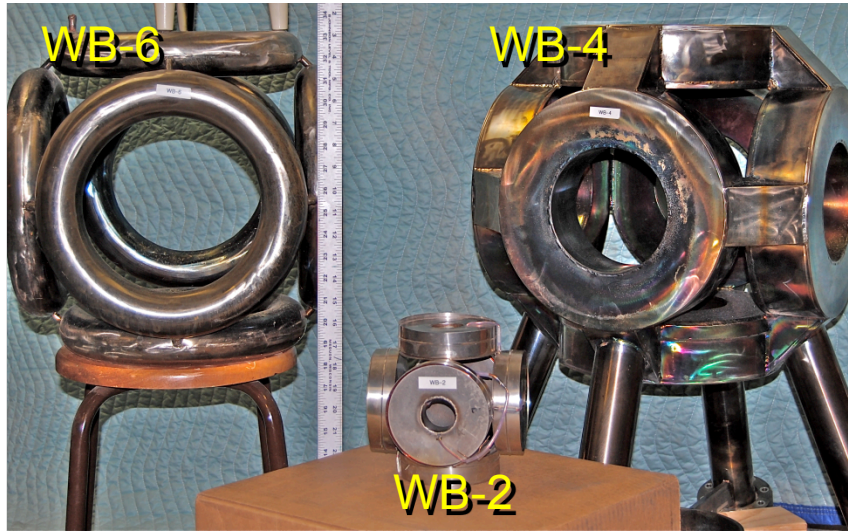
at low plasma density



However, the potential well decayed away with increase in plasma density above  $1 \times 10^9 \text{ cm}^{-3}$ , which was contributed to the insufficient confinement of fast electrons inside the Polywell cusp field (Krall et al, *Physics of Plasmas*, 1995)



# Progression of EMC2 Polywell Devices



Since 1994, EMC2 had built and operated successive test devices from Wiffle-Ball-1 (WB-1) to WB-8 to demonstrate confinement of high energy electrons in a magnetic cusp.

# Motivation of Magnetic Cusp

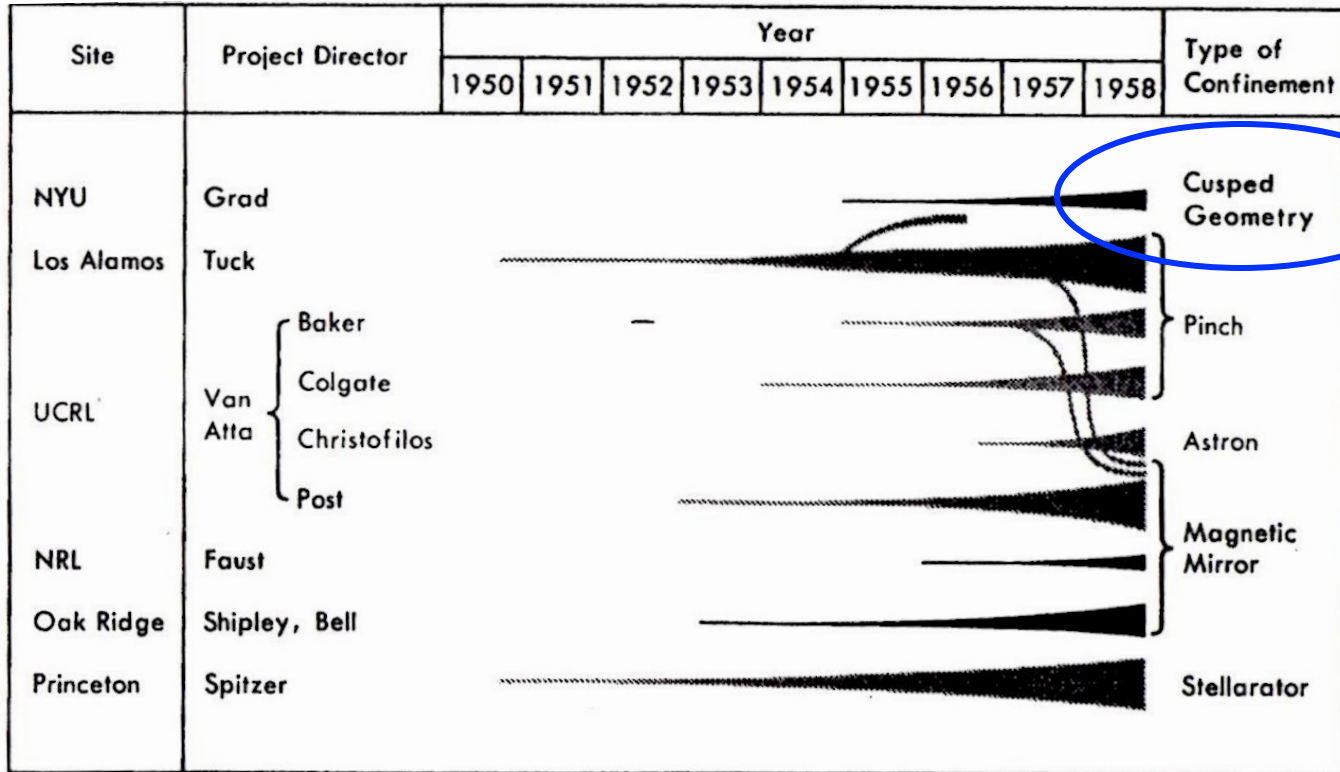


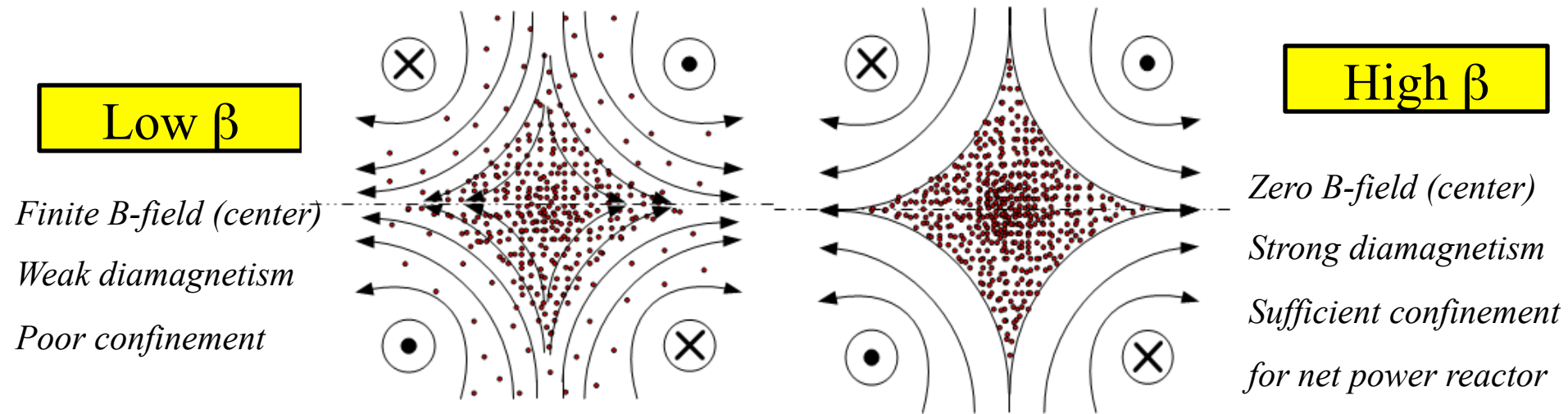
FIG. 19-2. CHRONOLOGY OF THE SHERWOOD PROGRAM, showing methods of plasma confinement in experiments to date.

Magnetic cusp was introduced to magnetic fusion program for plasma stability and high beta ( $\beta=1$ ) operation

From "Project Sherwood: The U. S. Program in Controlled Fusion" by Amasa Bishop (1958).

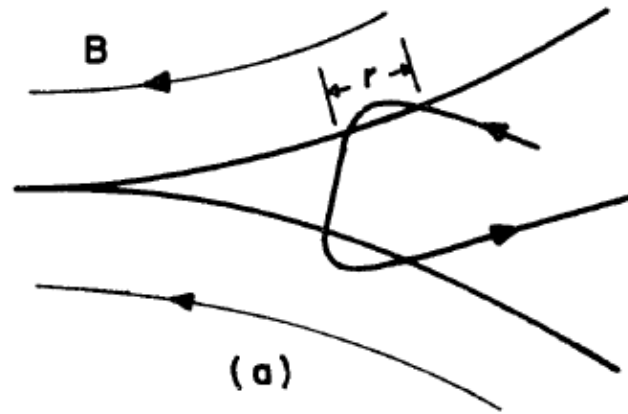
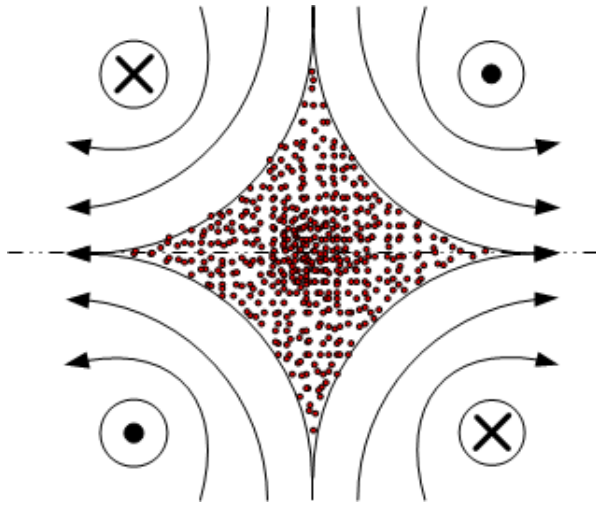


# Grad's High Beta Cusp Conjecture



- Between 1955-1958, NYU group led by Grad investigated the case of plasma confinement in a high  $\beta$  magnetic cusp.
- In Grad's view, a boundary between plasma and magnetic fields are very different for low  $\beta$  and high  $\beta$  case.
- For high  $\beta$  cusp, he envisioned “**a sharp transition layer to exist between plasma and B-fields, while diamagnetic effect results in a field free central region**”
- Plasma particles will undergo specular reflection at the boundary except for the particle moving almost exactly in the direction of the cusp → **the plasma loss rate will be greatly reduced and have gyro-radius scaling.**

# Plasma Confinement in Cusp at High $\beta$



Berkowitz et al  
1958 paper  
“Cusped geometries”

In high  $\beta$  cusp, **a sharp transition layer exists between plasma and B-fields**. Plasma particles will undergo specular reflection at the boundary except for the particle moving almost exactly in the direction of the cusp. The loss rate will have gyro-radius scaling.

Theoretically conjectured

Loss current per cusp by Grad and NYU team

$$\frac{I_{e,i}}{e} = \frac{\pi}{9} n_{e,i} v_{e,i} \times \pi (r_{e,i}^{gyro})^2$$



0.5s confinement time  
for 100 keV electron with 7 T, 1m  
radius, 6 coil cusp → favorable  
for a net power device.

# History of Cusp Confinement Efforts

---

- Grad's confinement enhancement conjecture made the cusp approach to be promising for a net power fusion reactor.
- For the next 20 years, detailed experiments were conducted on ~20 different devices and ~200 papers were published related to the cusp confinement as a result. Two excellent review articles by Spalding (1971) and Haines (1977).
- However, most efforts on cusp confinement stopped by 1980 due to a lack of progress.

# High Beta Cusp Experiments in 1960s

## using plasma injection

TABLE I  
Typical Injection—Cusp Experiments<sup>a</sup>

| References | Plasma source;<br>confinement<br>geometry                                     | Diameter<br><i>D</i> (cm) | Length<br><i>L</i> (cm) | <i>B</i> (max)<br>(kG) | <i>n<sub>e</sub></i><br>cm <sup>-3</sup> | <i>W</i> "<br>keV      | <i>T<sub>e</sub></i><br>eV | Quoted <i>β</i><br>near axis |
|------------|-------------------------------------------------------------------------------|---------------------------|-------------------------|------------------------|------------------------------------------|------------------------|----------------------------|------------------------------|
| 67         | Single-pulse<br>coaxial gun;<br>axisymmetric<br>quadrupole and<br>octupole    | 90                        | 120                     | 4.5                    | 10 <sup>12</sup> –10 <sup>14</sup>       | 5 × 10 <sup>-2</sup>   | 15                         | ?                            |
| 68         | 12 conical Z-pinch<br>guns; axisymmetric<br>triple cusp<br>(radial injection) | 20                        | 45                      | 1.9                    | 7.5 × 10 <sup>14</sup>                   | >5 × 10 <sup>-3</sup>  | 4.5                        | <1                           |
| 69         | Coaxial gun;<br>spindle-cusp                                                  | 53                        | 53                      | 12                     | ~3 × 10 <sup>13</sup>                    | 13                     | Nonthermal                 | <1/2                         |
| 70, 71     | 2 <i>θ</i> -Pinch<br>(single pulse)<br>guns; spindle cusp                     | 25                        | 230                     | 3.2                    | ~10 <sup>15</sup>                        | 2.4 × 10 <sup>-1</sup> | 20                         | ≥ 0.90<br>in core            |
| 72         | Conical Z-pinch;<br>spindle cusp                                              | 40                        | 40                      | 4                      | (3–10) × 10 <sup>15</sup>                | ~1                     | ?                          | ~1                           |
| 73         | Titanium guns;<br>spindle cusp<br>(radial injection)                          | 12                        | 12                      | 3.9                    | ~8 × 10 <sup>15</sup>                    | 5 × 10 <sup>-2</sup>   | >5                         | ~1                           |
| 74         | 2 multiple-pulse<br>coaxial guns;<br>spindle cusp                             | 17                        | 15                      | 3.9                    | 10 <sup>13</sup>                         | 2 × 10 <sup>-2</sup>   | 6                          | ~1                           |

<sup>a</sup> Axial injection unless radial injection at ring cusps is specifically noted. *W*" is the injected energy in keV.



# Cont. High Beta Cusp Experiments in 1960s

## using plasma compression

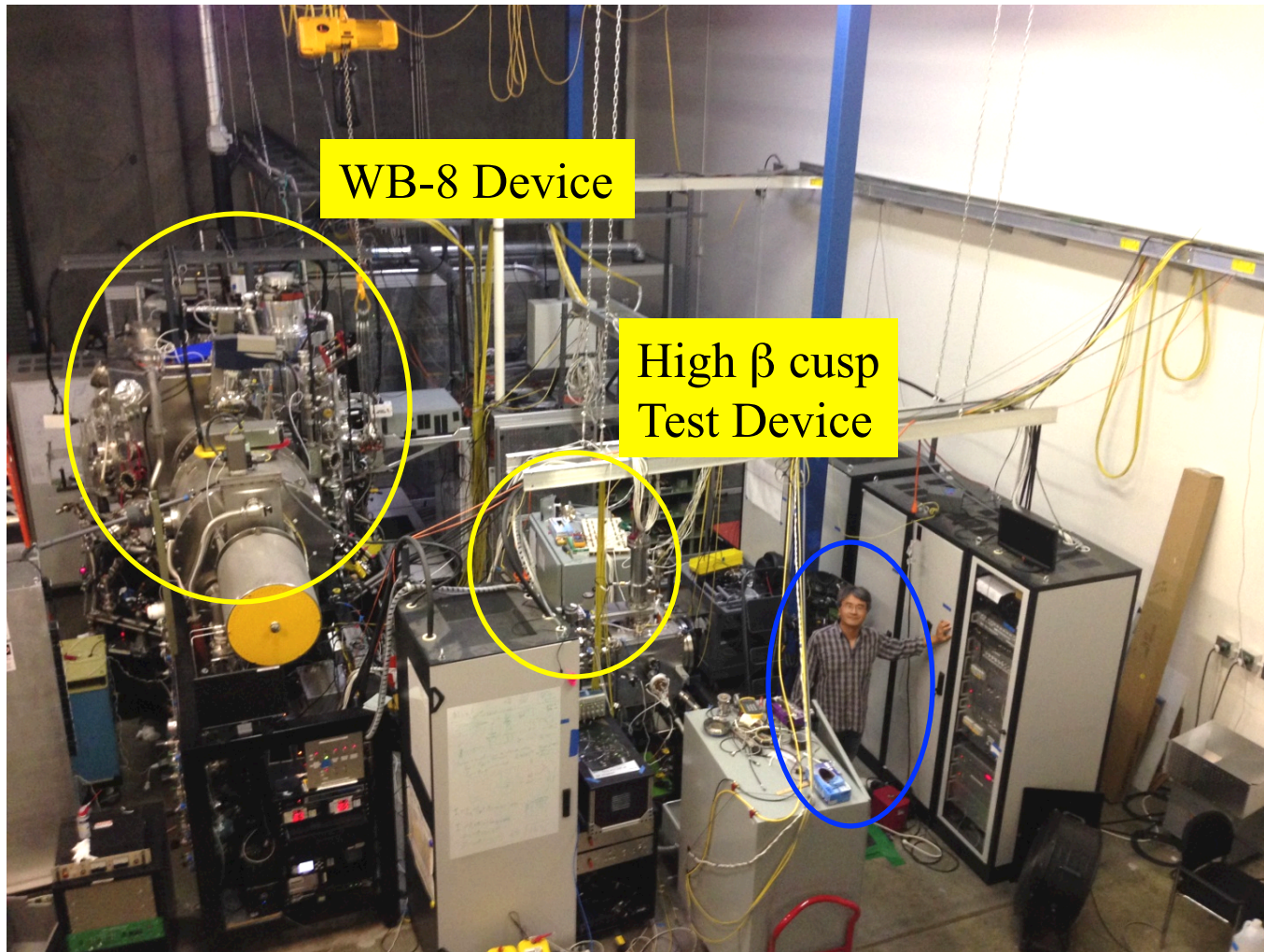
TABLE II  
Recent Compression—Cusp Experiments

| Refs. | Description                                    | $D$<br>(cm) | $L$<br>(cm) | $B$<br>kg | Rise time<br>( $\mu$ sec) | $\hat{n}$<br>( $\text{cm}^{-3}$ ) | $\hat{T}_e$<br>eV | $\beta_A$       |
|-------|------------------------------------------------|-------------|-------------|-----------|---------------------------|-----------------------------------|-------------------|-----------------|
| 75    | Adiabatic spindle cusp                         | 11          | 8           | 25        | 4.5                       | ?                                 | ?                 | ?               |
| 76-78 | Ditto (shock preheat)                          | 20          | 20          | 24        | 15                        | $2.5 \times 10^{16}$              | 15                | 0.98            |
| 79    | Ditto (gun preheat)                            | 20          | 20          | 34        | 15                        | $10^{16}$                         | 70                | $\sim 1.0$      |
| 80    | Shock-heated spindle cusp                      | 10.5        | 13          | 70        | 1.1                       | $10^{17}$                         | 120               | ?               |
| 81    | Linear $\theta$ -cusp- $\theta$ pinch          | 5           | 2.5         | 27        | 1                         | $\sim 3 \times 10^{16}$           | 100-180           | ?               |
| 82-84 | Shock-heated linear cusp- $\theta$ -cusp pinch | 19          | 50          | 60        | 2.1                       | $1.5 \times 10^{16}$              | 150               | $0.99 \pm 0.01$ |
| 85    | Shock-heated toroidal hexapole                 | 6           | 163         | 10        | 3.0                       | $3 \times 10^{16}$                | 50                | 0.8             |
| 86    | Shock-heated toroidal hexapole                 | 6           | 163         | 21        | 3.0                       | $3.5 \times 10^{16}$              | 93                | 0.4             |
|       |                                                | 6           | 163         | 10.5      | 3.0                       | $1.4 \times 10^{16}$              | 62                | 1.0             |

From review article by I. Spalding, "Cusp Containment" In Advances in Plasma Physics.  
(A. Simon, W. B. Thompson, Eds., Wiley, New York, 1971)

# Recent Experiments at EMC2

(EMC2 San Diego Facility)



# EMC2 Experimental Plan

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## 1. Plasma injection to the cusp

- Use high power arc (solid target) plasma injectors

## 2. Verify high $\beta$ plasma formation in the cusp

- Measurements on plasma density, magnetic flux and electron temperature

## 3. High energy electron injection to high $\beta$ cusp

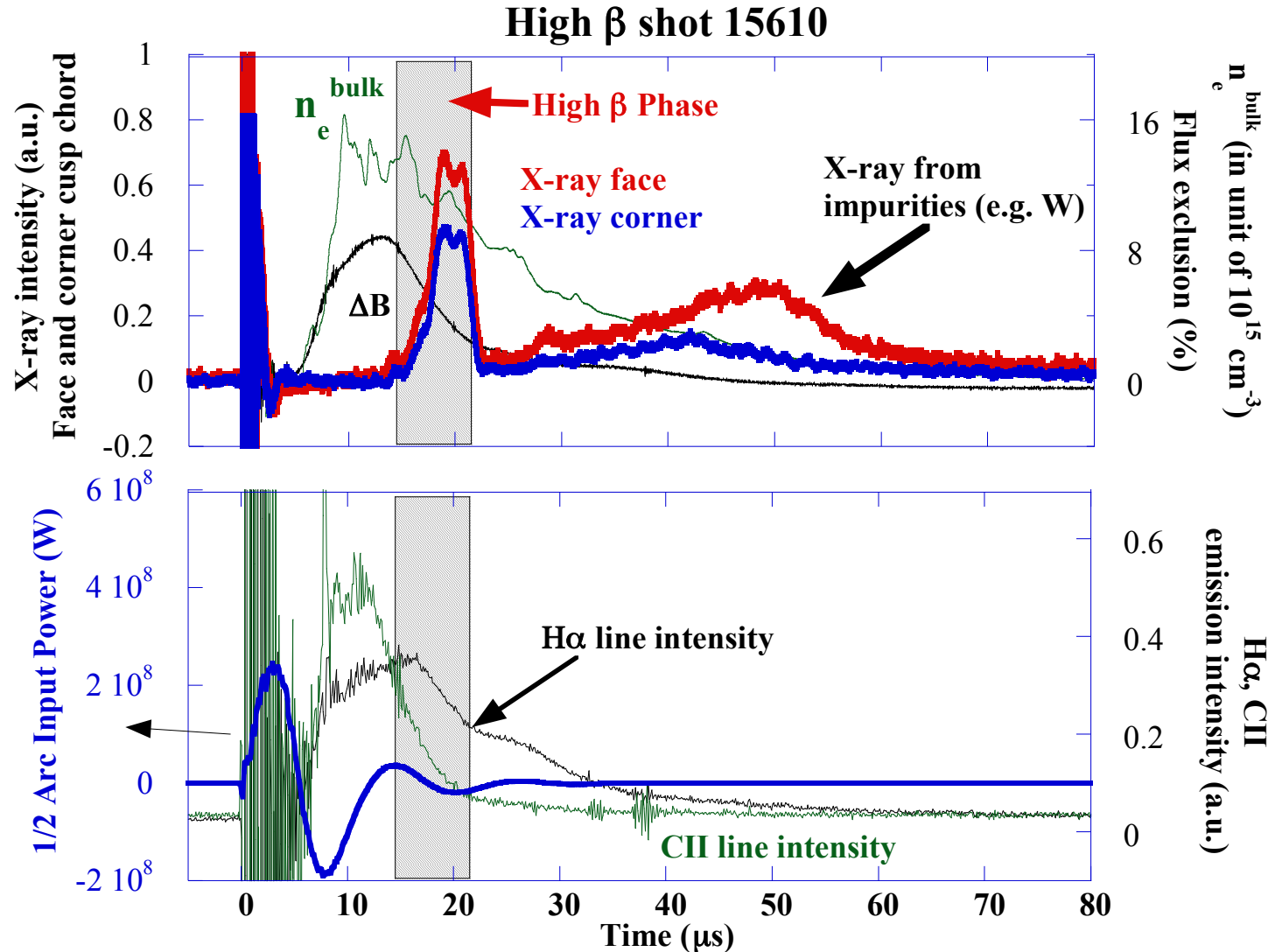
- LaB<sub>6</sub> based electron beam injector, used as fast test particles.

## 4. Confinement measurement of high energy electrons in the cusp

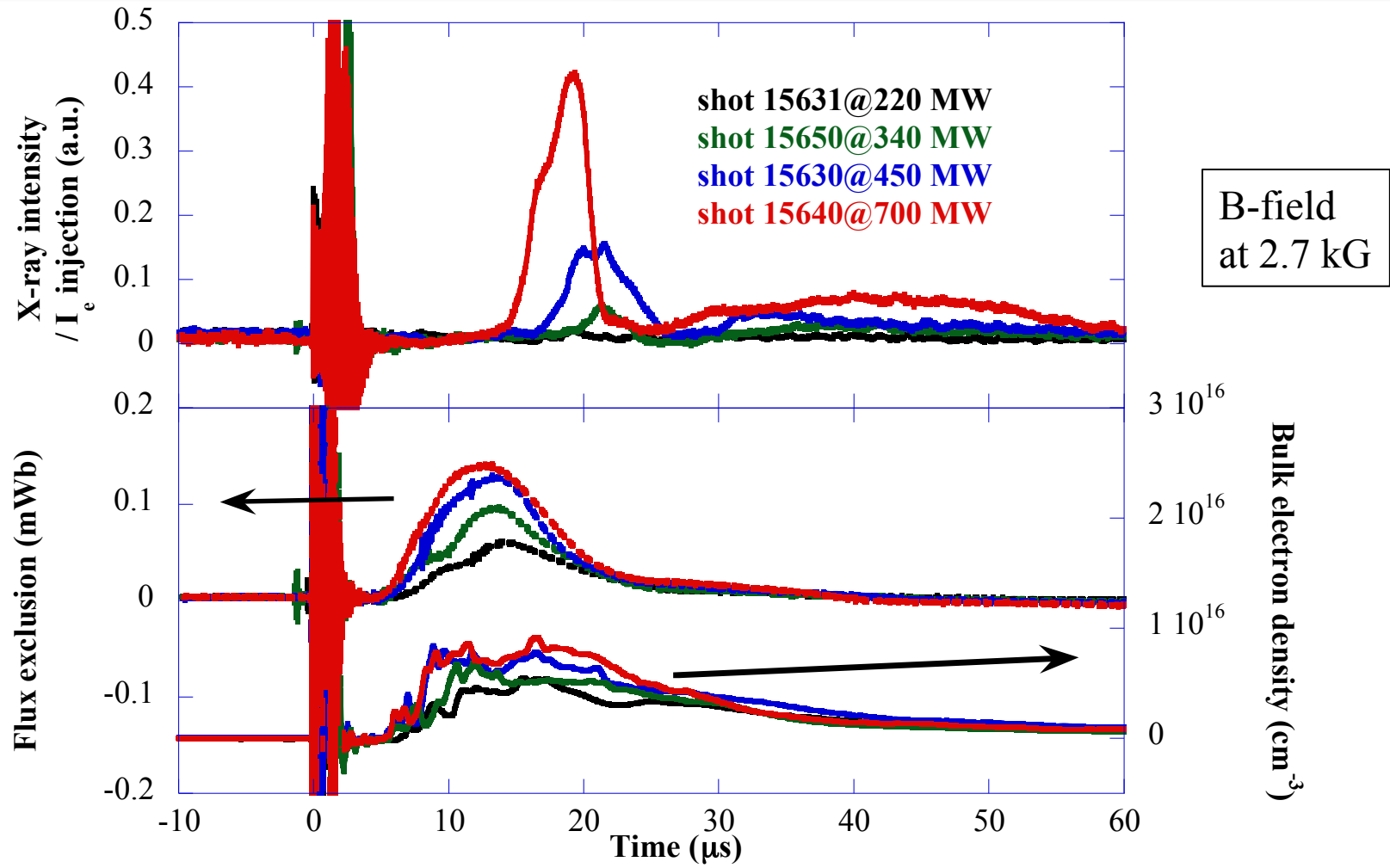
- Time resolved hard x-ray intensity from bremsstrahlung

Bulk (cold & dense) plasma from arc injectors provides plasma pressure (high  $\beta$ ) to modify cusp B-fields, while the confinement property is measured for high energy electrons in the cusp.

# First ever confirmation of high $\beta$ cusp confinement enhancement (October 23, 2013)



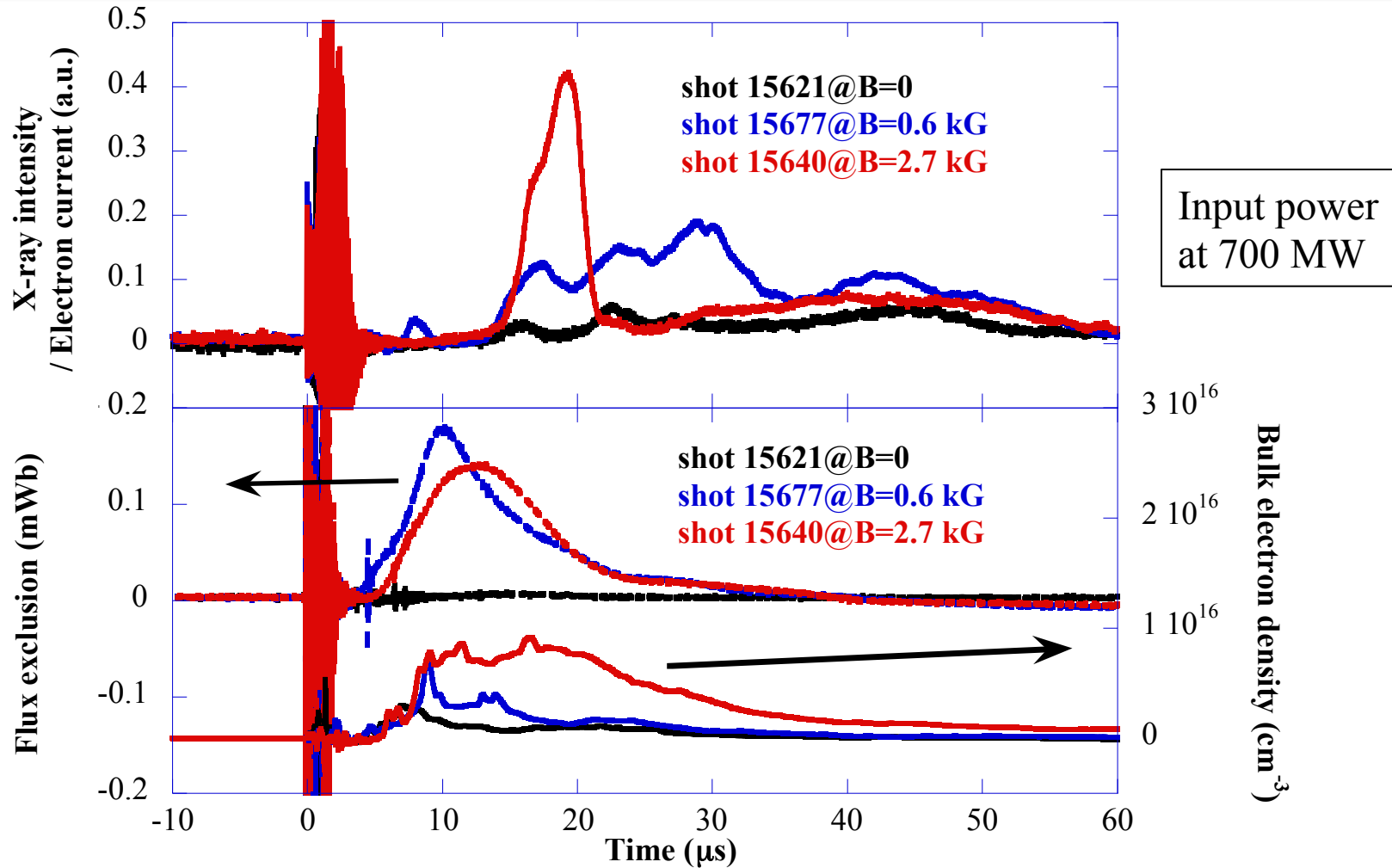
# Cusp confinement vs. Injection input power



Cusp confinement enhancement requires sufficiently high  $\beta$  plasma condition



# Cusp confinement vs. initial B-fields



No confinement enhancement at B=0 but we need to do more to understand B-field effects

# Our Findings on High $\beta$ Cusp Confinement

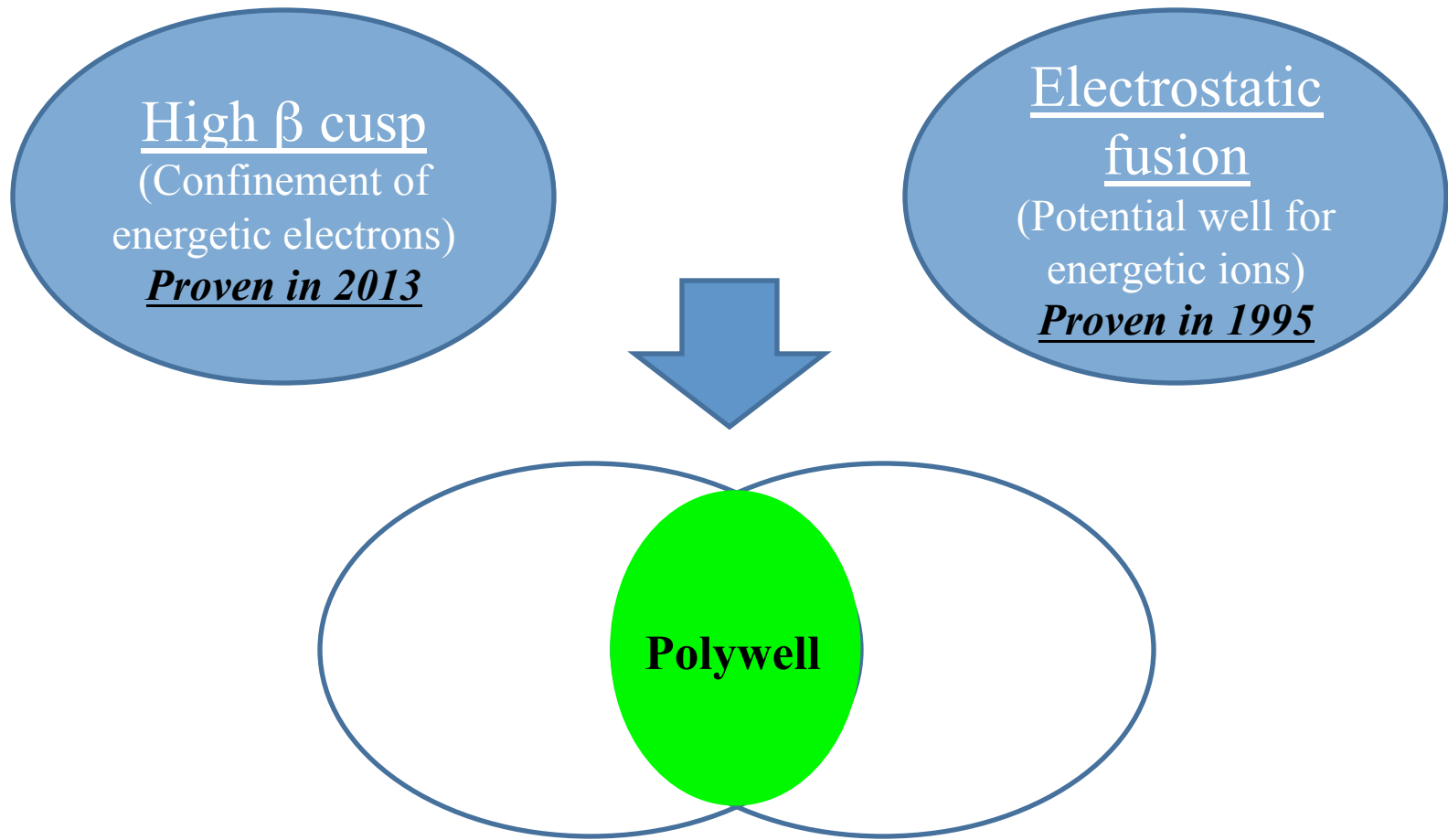
## Increase in X-ray signal

- Coincides with high  $\beta$  plasma state in the cusp
- Only observed when there is sufficient flux exclusion or plasma injection reaches a threshold
- Peak increase is 10-20x or more compared to low  $\beta$  state
- Exhibits asymmetrical time behavior: gradual increase followed by rapid decrease
- Clearly separated from W impurities injection in time domain

**We believe our x-ray measurements unambiguously validate the enhanced electron confinement in a high  $\beta$  cusp compared to a low  $\beta$  cusp**

*Technical paper submitted to Physical Review X and preprint available on arXiv:1406.0133 (2014)*

# A Path to Polywell Fusion



High  $\beta$  cusp + Electrostatic fusion at the same time

# Merits of Polywell Fusion Reactor

## Scientific merits

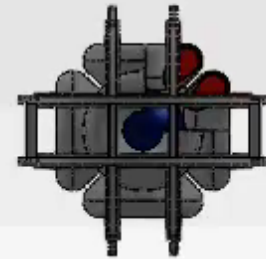
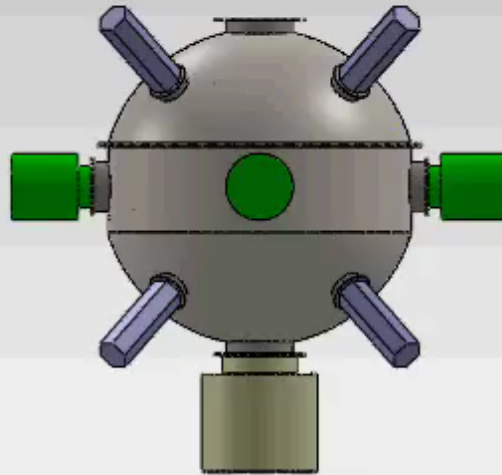
- MHD stability
- High  $\beta$  operation
- Electrostatic heating of ions
- No helium ash issue

## Engineering merits

- Compact size
- Heating by electron beam injection
- Natural divertor
- Modular, non-interlocking coils
- Remote first wall

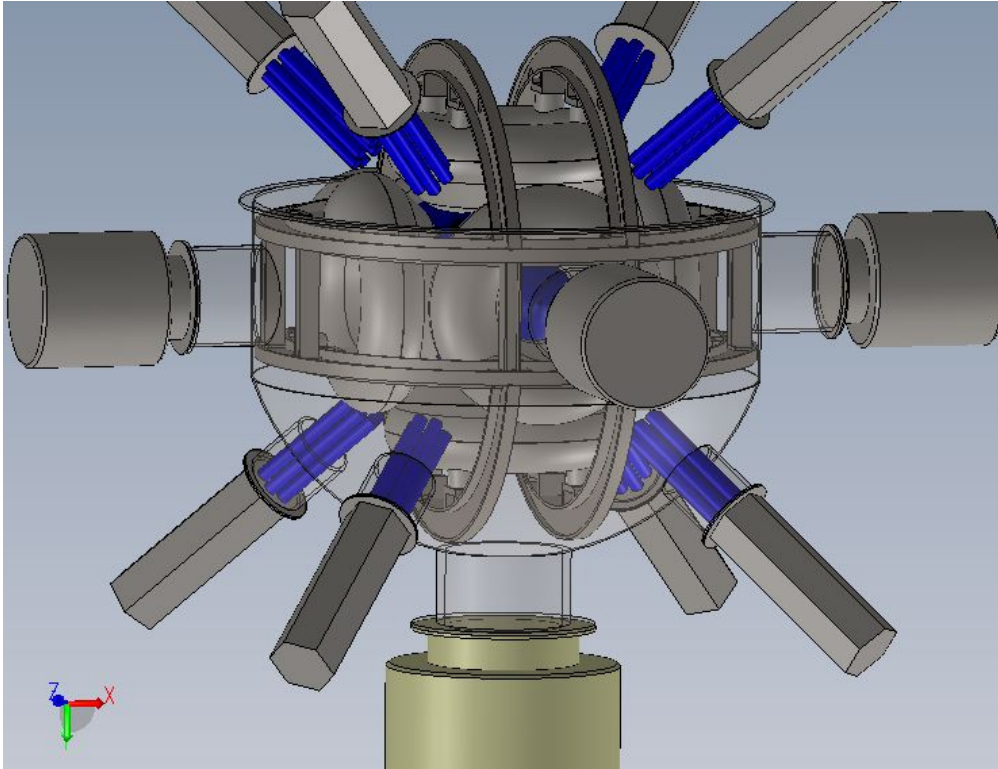
Polywell fusion may offer a low cost and rapid development path

# Movie of Polywell Fusion Reactor Assembly





# Next Phase: Last Part of Proof-of-Principle



- Sustained high  $\beta$  operation ( $\sim 5$  ms)
- Demonstration of ion heating ( $>10$  kV) by e-beam injection
- Verify Grad's cusp scaling

3 year, \$25-30M program to complete proof-of-principle

Success will be defined by 1) high energy electron confinement within a factor of 10 from Grad's conjecture and 2) minimum 30% ion heating efficiency via e-beam.

# Teller's Comment on Beta

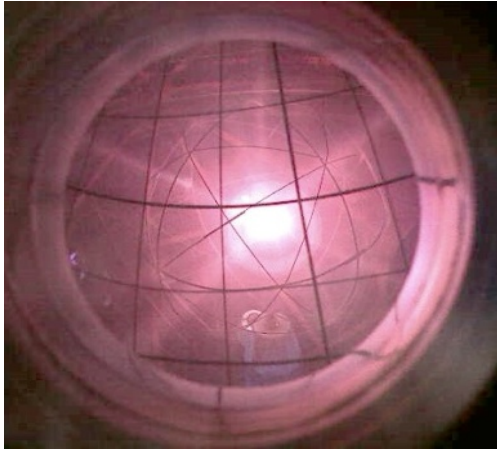
“ The qualitative properties of the plasma depend on the ratio of pressures in the plasma and the magnetic field. The former is the plasma pressure  $p$ , the latter  $B^2/8\pi$ . The ratio of the two quantities  $8\pi p/B^2$  is known as  $\beta$ . In general, the plasma behavior is most simple for low- $\beta$  values and most interesting for high- $\beta$  values.”

*Teller, page 13-14, “Fusion ,Volume 1, Part A: Magnetic Confinement, edited by Edward Teller, 1981*

# Supplemental Slides

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# Electrostatic Fusion

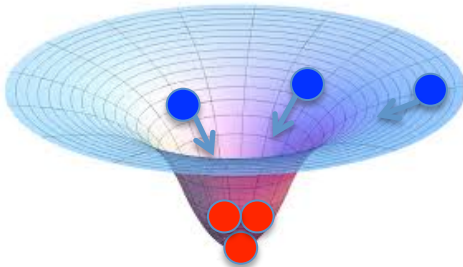


*Contributions from Farnsworth, Hirsch, Elmore, Tuck, Watson and others*

## Operating principles

(virtual cathode type )

- e-beam (or grid) accelerates electrons into center
- Injected electrons form potential well
- Potential well accelerates/confines ions
- Energetic ions generate fusion near the center



Deep negative potential well (1)  
accelerates and traps positive ions (2) until  
they generate fusion reactions

## Attributes

- **Excels in generating energetic ions with good confinement**
- But loss of high energy electrons is too large

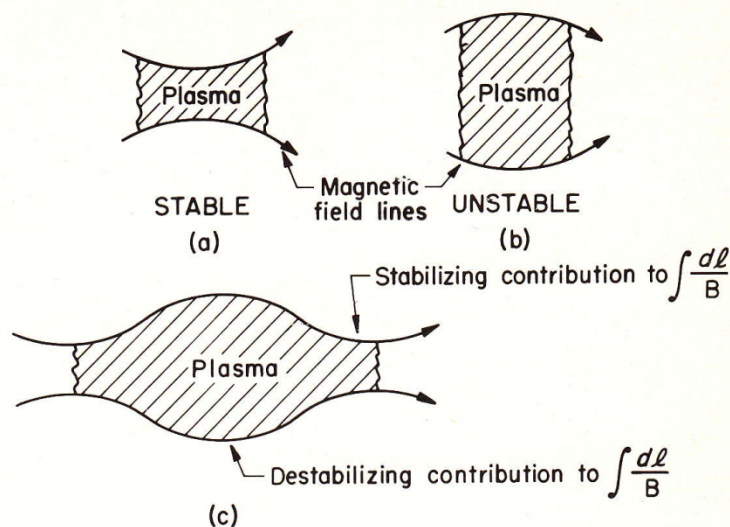
***Net power generation is unlikely  
(present efficiency:  $1-10 \times 10^{-6}$ )***

# Question on Plasma Stability

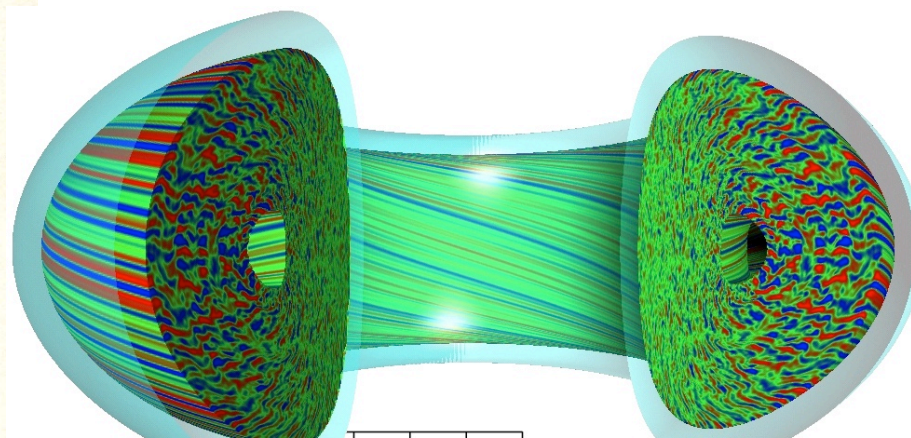
Reference: “Project Sherwood: The U. S. Program in Controlled Fusion” by Bishop (1958).

- Question on Plasma Stability by Teller in 1954

- “Attempts to contain a plasma as somewhat similar to contain jello using rubber bands”
- Basis of interchange instability (plasma version of Rayleigh Taylor instability) and idea of “good curvature” vs. “bad curvature”

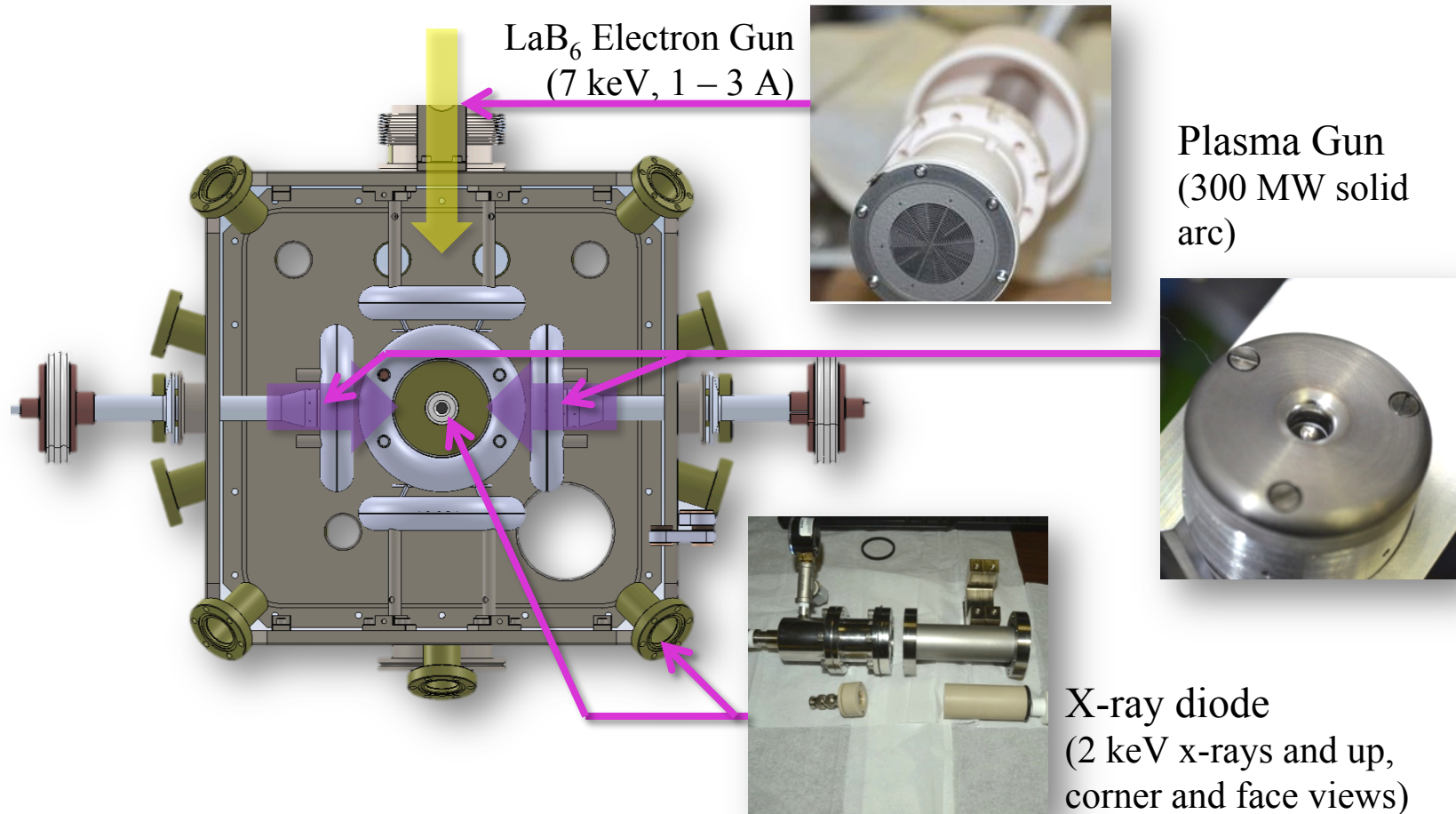


From Principles of Plasma Physics  
*Krall & Trivelpiece (1973)*



Stronger instability shown in an outer part of torus  
“Tokamak ballooning mode instability”  
from General Atomics Gyrokinetic simulation

# Experimental Setup for high $\beta$ cusp confinement



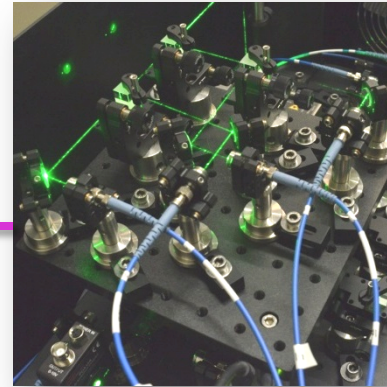
Chamber size: 45 cm cube, Coil major radius; 6.9 cm

Distance between two coils: 21.6 cm, B-field at cusp (near coil center) 0.6 – 2.7 kG

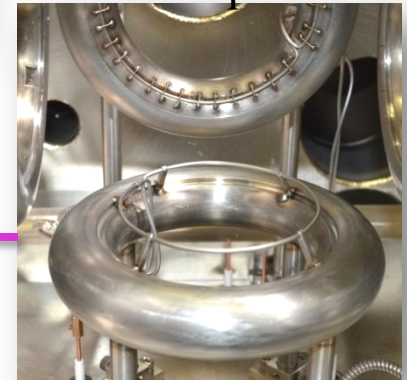


# Experimental Setup (continued)

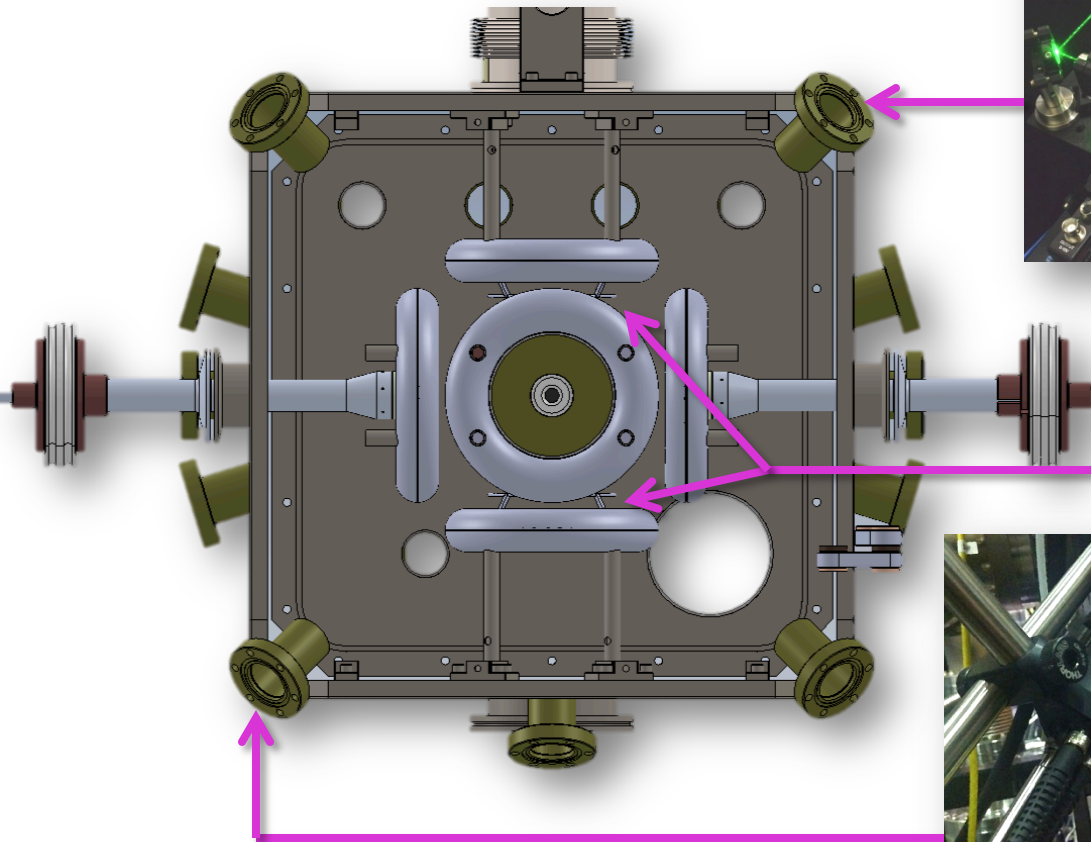
Laser Interferometer  
(532 nm,  $10^{15}$  -  $10^{17}$  per cc)



Magnetic Flux  
Loops



Photodiodes and  
Spectrometer  
(Filtered for  $H_{\alpha}$  and  $C_{I-II}$ ,  
High resolution spectrometer,  
fiber coupled)



# Solid arc plasma injector

Plasma injection by co-axial guns ( $j \times B$ ) using solid fuel

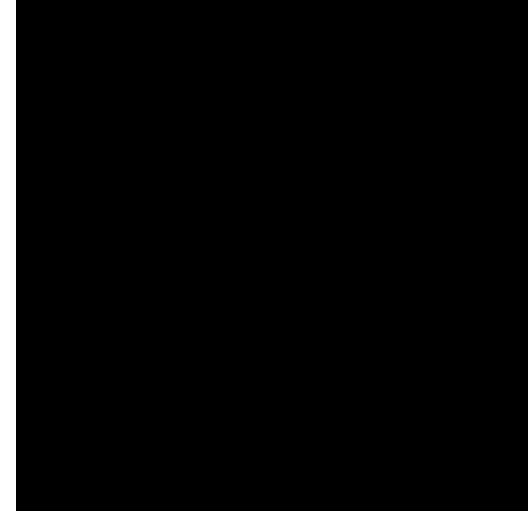
- Ignitron based pulse power system (40  $\mu\text{F}$  cap holds 3 kJ at 12kV)
- $\sim 100$  kA arc current  $\rightarrow \sim 300$  MW peak power and  $\sim 7$   $\mu\text{s}$  pulse
- $\beta=1$  @ 2.5 kG:  $1.5 \times 10^{16} \text{ cm}^{-3}$  at 10 eV or 100J in a 10 cm radius sphere



solid arc using  
polypropylene film  
2 mm A-K gap

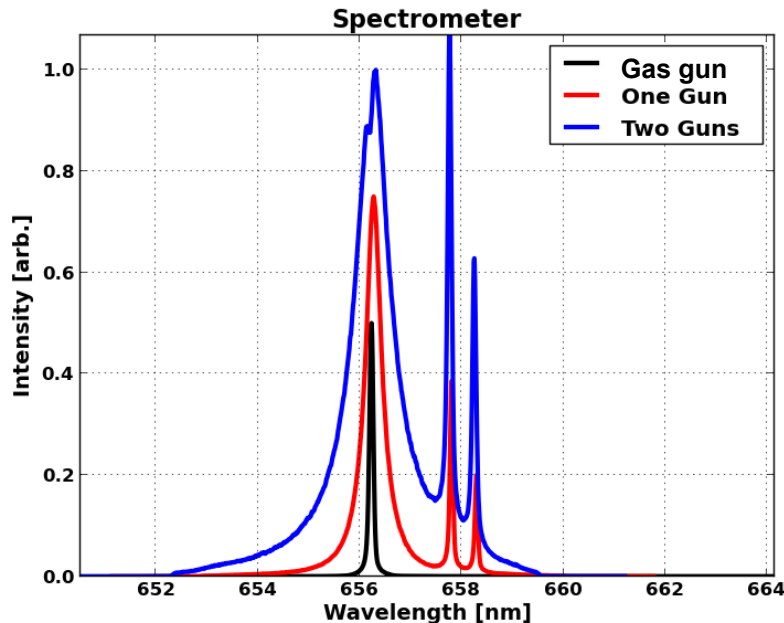


Animation of plasma injection

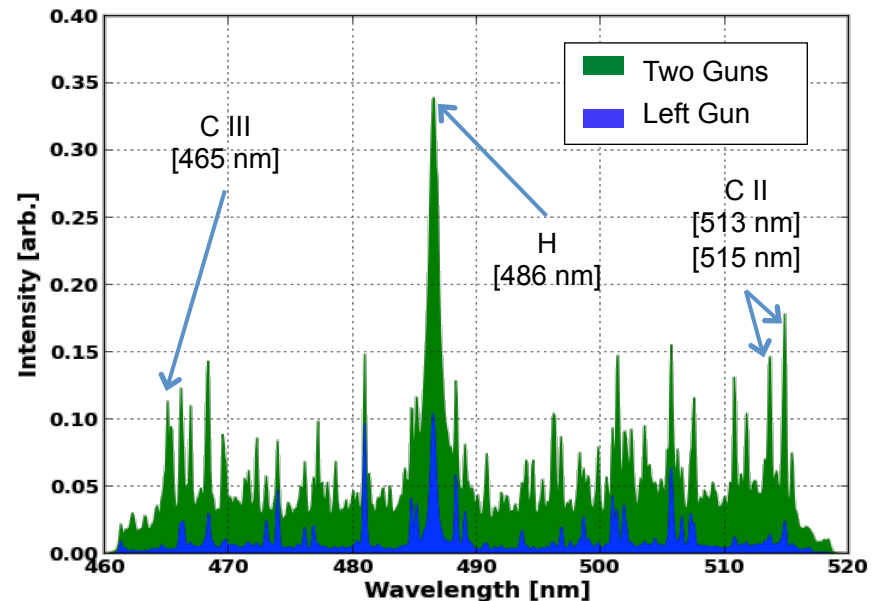


Dual arc plasma injection movie

# High $\beta$ plasma formation (two plasma guns)

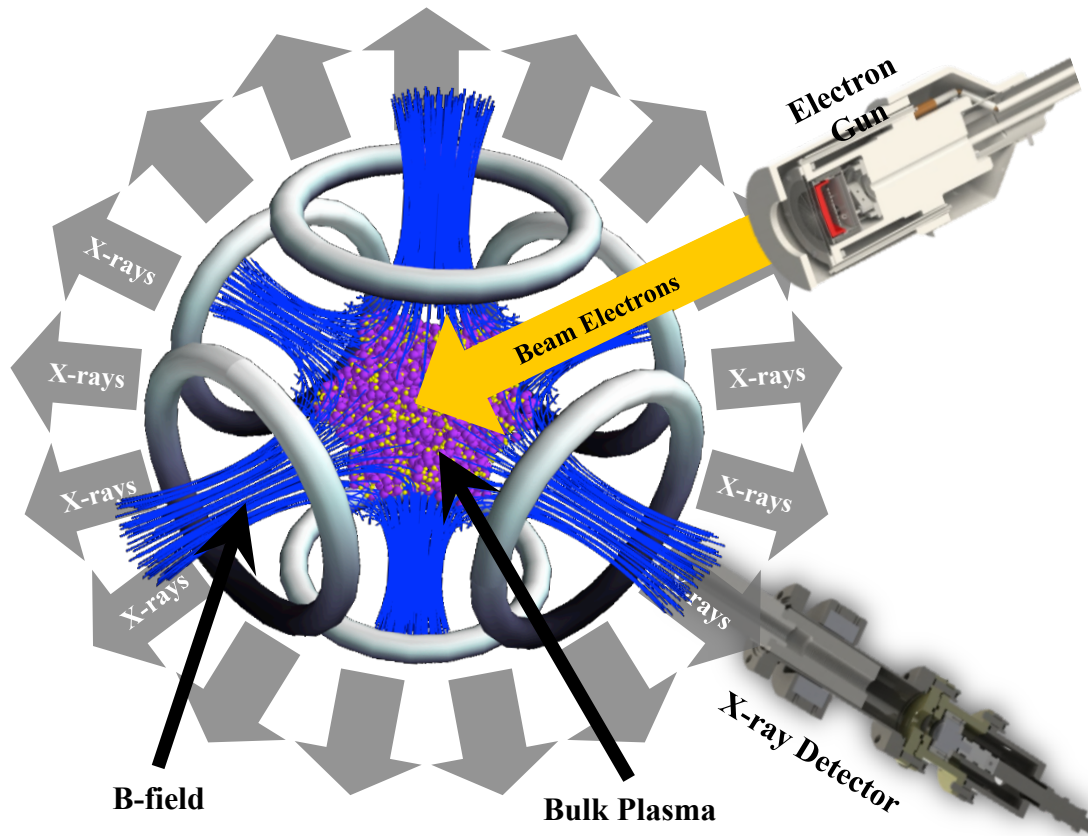


- Plasma density on the order of  $10^{16} \text{ cm}^{-3}$  from Stark broadening of H $\alpha$  line
- Laser interferometer provides single shot line integrated density variation in time



- Electron temperature is estimated  $\sim 10 \text{ eV}$  from C II and CIII emission
- H $\alpha$ , C II line by photodiode and visible spectra by gated CCD is used to monitor  $T_e$  variation in time

# High energy electron beam produces hard x-rays



E-gun injects  
Beam Electrons (7 keV)



Beam electron confinement by  
Cusp magnetic fields



Collisions with bulk plasma  
create hard x-rays ( $E > 2$  keV)  
via Bremsstrahlung

Transit time:  $\sim 7$  ns for 7 keV electron for 22 cm transit

Expected confinement time:  $\sim 45$  ns for low  $\beta$  and  $\sim 18$   $\mu$ s for high  $\beta$  (x400 increase)

# Bremsstrahlung x-ray emission

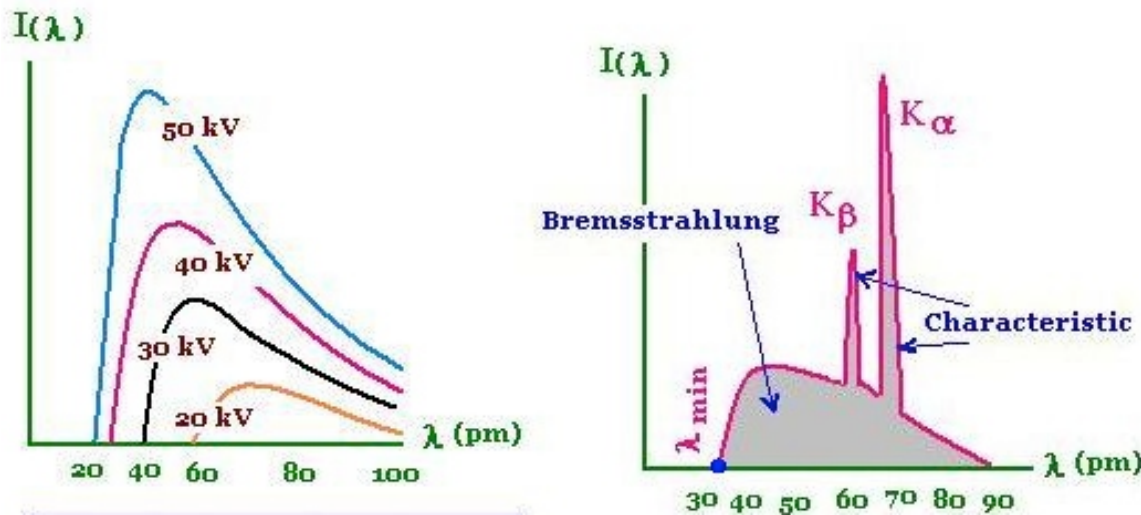
## from interaction between beam electrons and plasma

Bremsstrahlung radiation from e-beam interaction with plasma ions

$$e + \text{ion} \rightarrow e + \text{ion} + h\nu \quad \longrightarrow \quad P^{Br} \propto n_e^{beam} E_{beam}^{1/2} n_{ion} Z_{eff}^2$$

### Bremsstrahlung x-ray intensity

→ Direct measurement of beam e-density inside Cusp



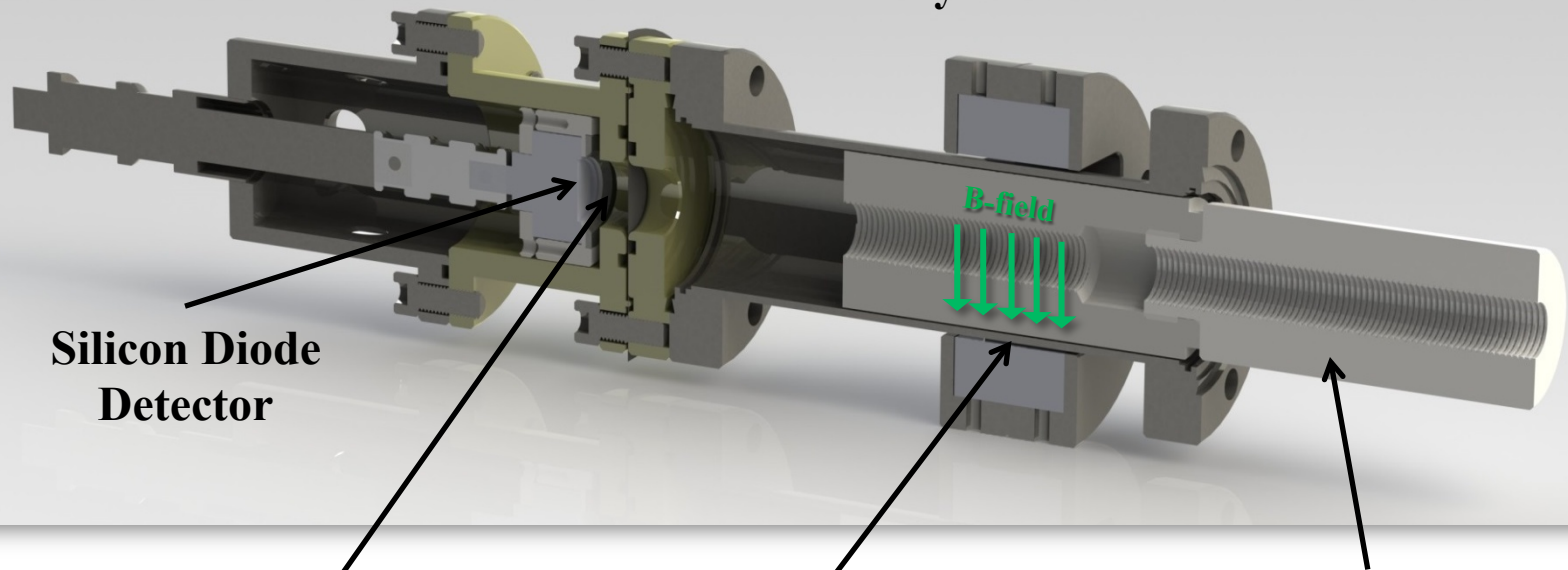
Typical beam target x-ray spectrum

*Careful measurement is required to eliminate spurious radiation from impurities, vacuum wall, coil surfaces, and characteristic line emission*



# X-ray collecting optics to eliminate unwanted signals

**X-ray Diode and Collimator Assembly**



**Silicon Diode  
Detector**

**Kapton-Black Film**

- Blocks plasma
- Blocks soft x-rays
- Blocks visible light

**Magnetic Yoke**

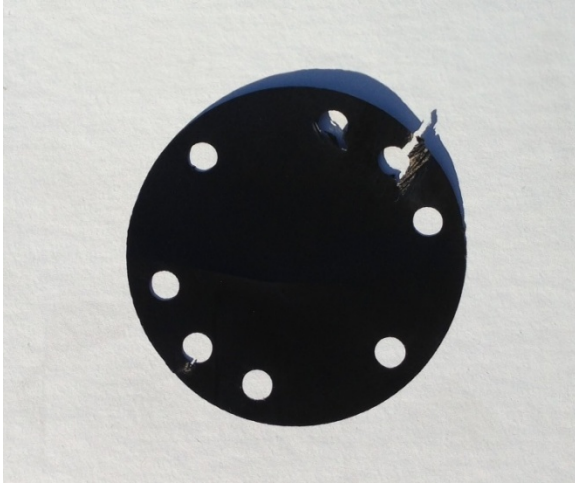
- Blocks beam electrons

**Collimator Tube**

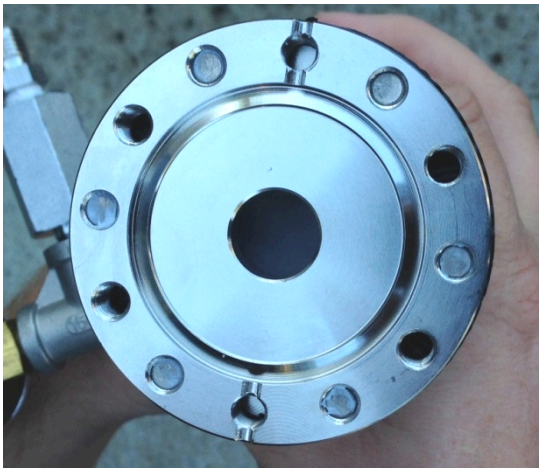
- Limits view to plasma
- Plastic material minimizes x-ray production inside tube



# Hard x-ray filter

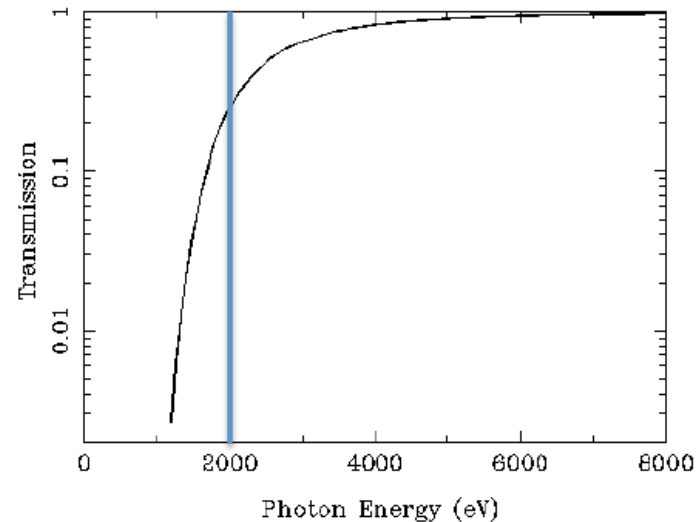


25  $\mu\text{m}$  thick light tight Kapton filter  
(works as vacuum interface)



**Filter Transmission**

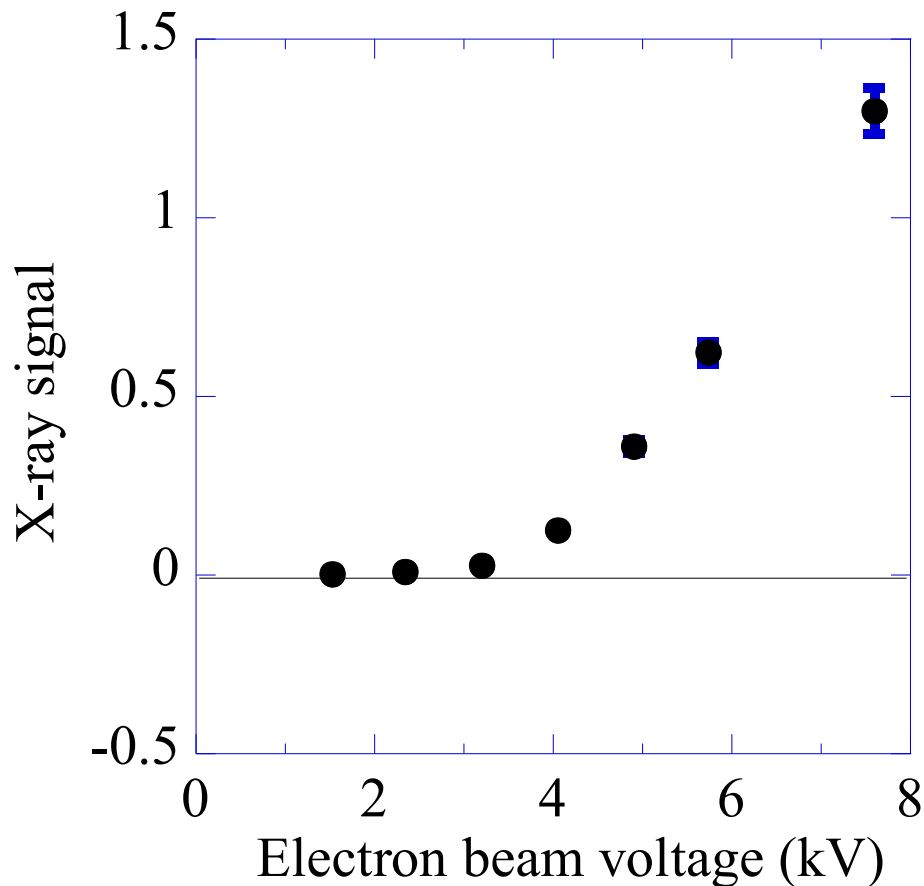
C22H10N2O5 Density=1.43 Thickness=25. microns



Filter has sharp cutoff at  $\sim 2$  keV photon energy

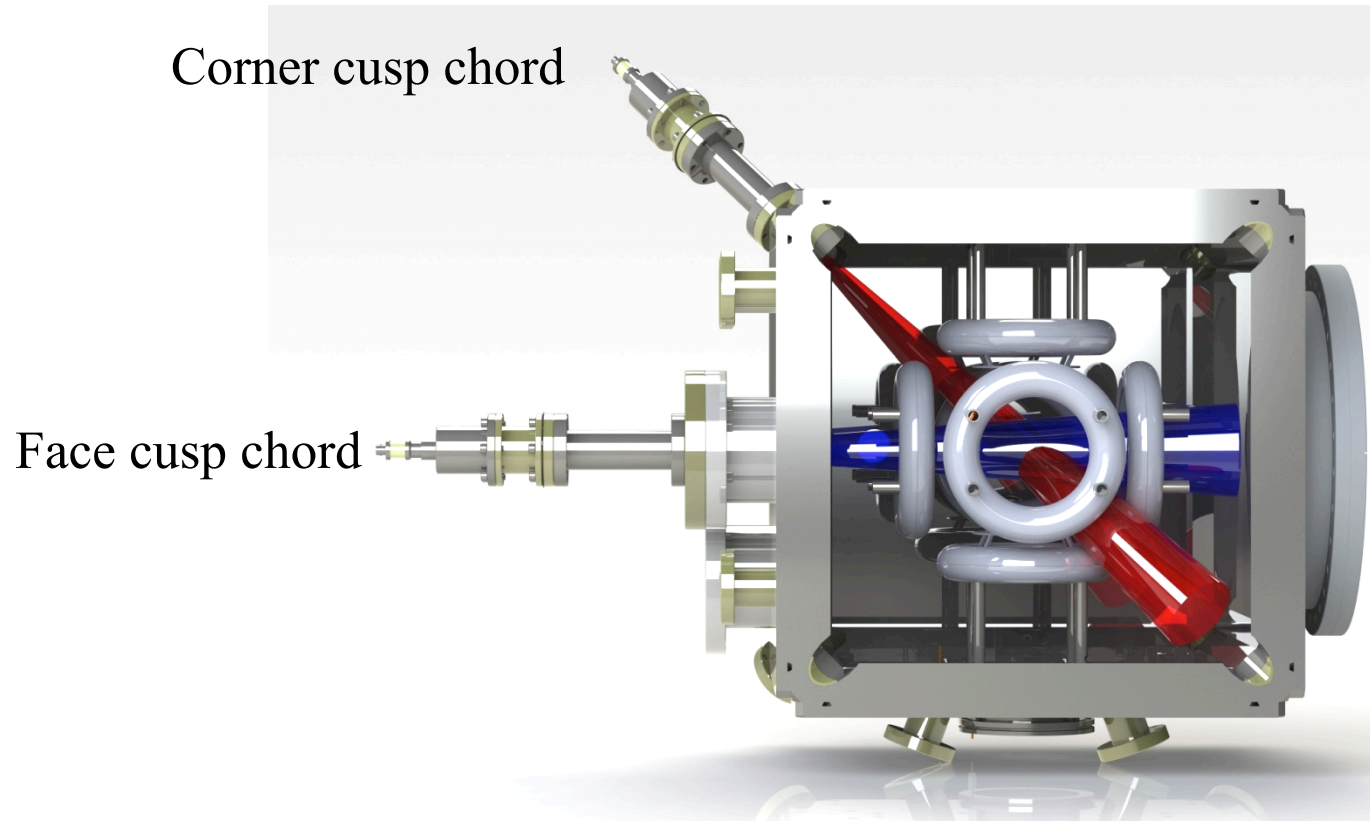
- blocks any characteristic x-ray emission from light elements up to  $^{14}\text{Si}$  and  $^{15}\text{P}$
- blocks UV-visible light from plasmas
- blocks charged particles from reaching the detector

# Confirmation of X-ray filter vs. beam energy



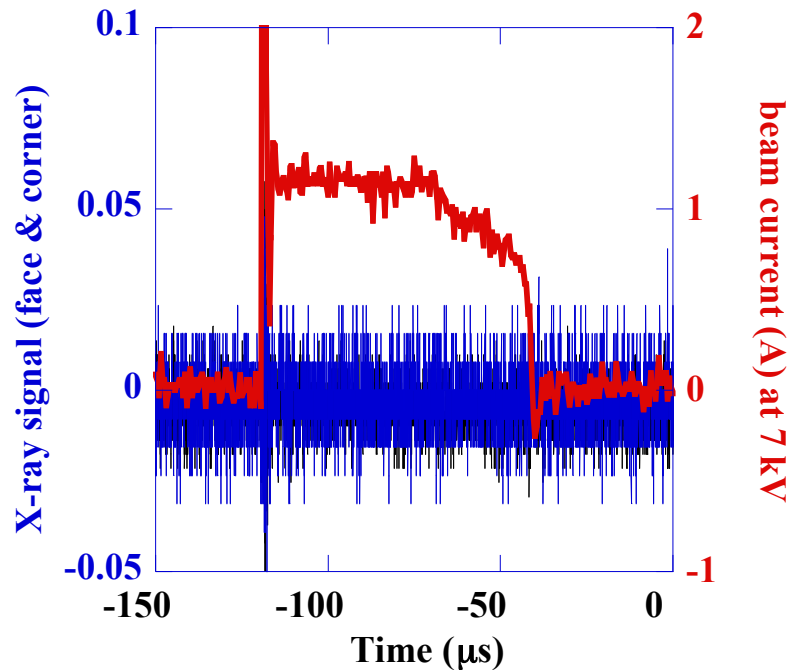
- X-ray was generated by electron beam on Stainless Steel target
- 25  $\mu\text{m}$  thick Kapton filter works well to eliminate X-ray photons below 2 keV

# Spatial collimation of x-ray detectors



- Collimation is designed to eliminate direct line-of-sight view of metal surfaces
- In addition, opposite sides of the chamber wall are covered using Kapton film and quartz window
- Both chords allow good volume averaging of x-ray emission from core plasmas

# Confirmation of X-ray collimation



- e-beam into vacuum magnetic field (no plasma) generates no x-ray response from the diode detector
- Indication of well collimated x-ray optics

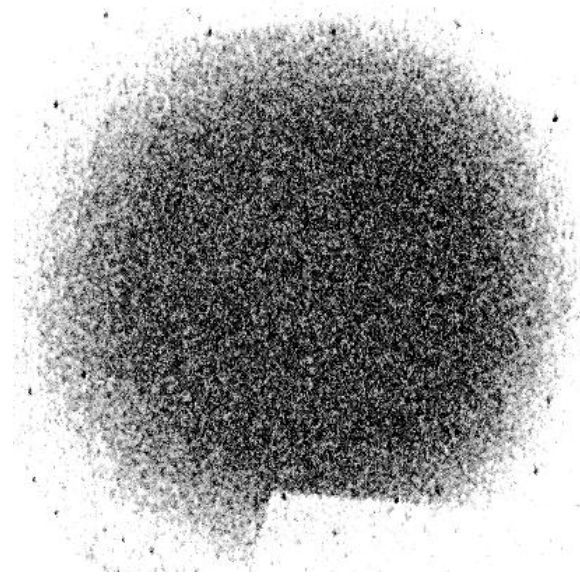
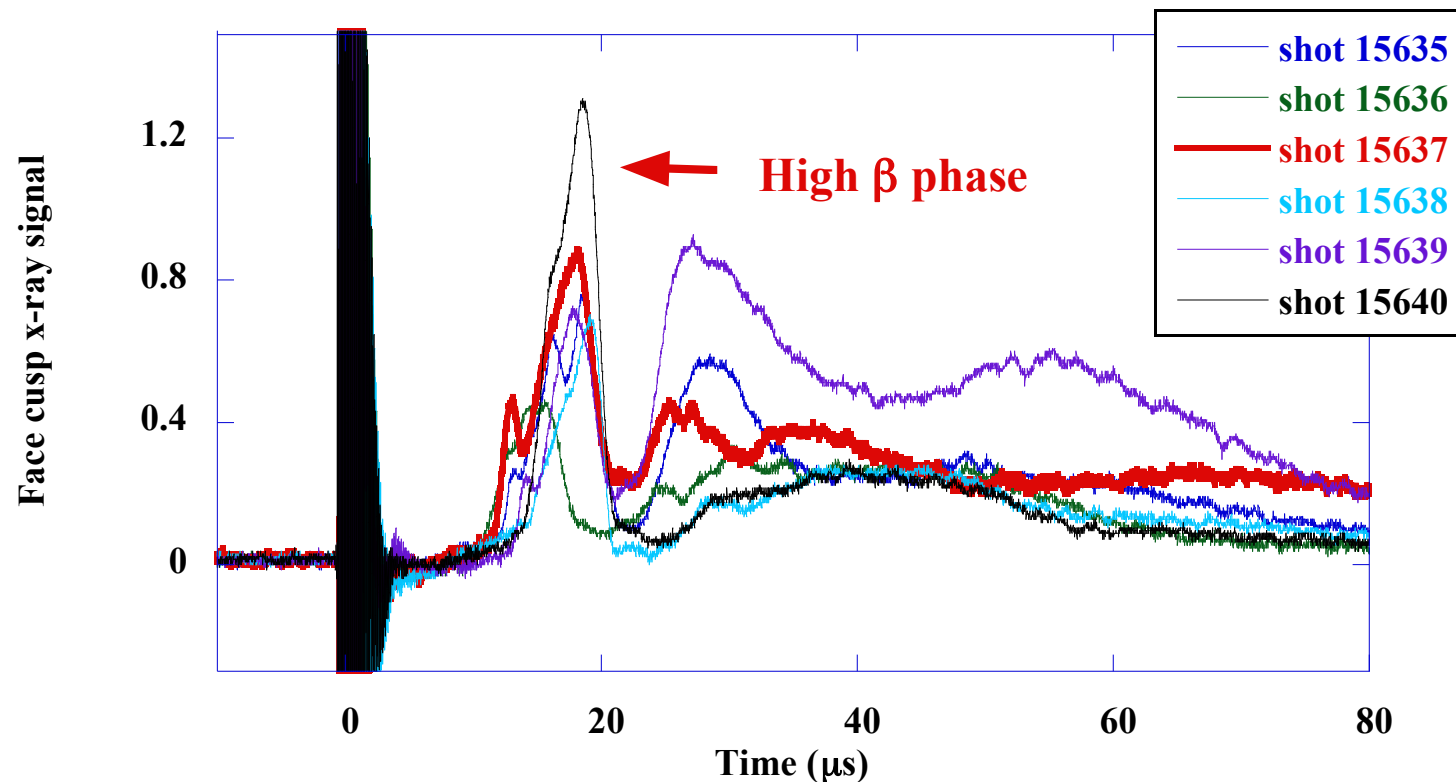


Image plate (x-ray film) exposure at the face cusp detector location

- Uniform exposure
- No sign of spatial structure from coils & walls
- 10 mTorr N<sub>2</sub> gas target
- 20 ms exposure with 4A@7 kV e-beam
- B-field at 1.4 kG

# Reproducibility of high $\beta$ cusp confinement

6 consecutive shots with  $\sim 200$  J of injected plasma energy at 2.7 kG B-fields  
→ Estimated cusp beta  $\sim 0.7$  from line averaged density at  $T_e \sim 10$  eV



All six shots show distinctive high  $\beta$  phase → good reproducibility



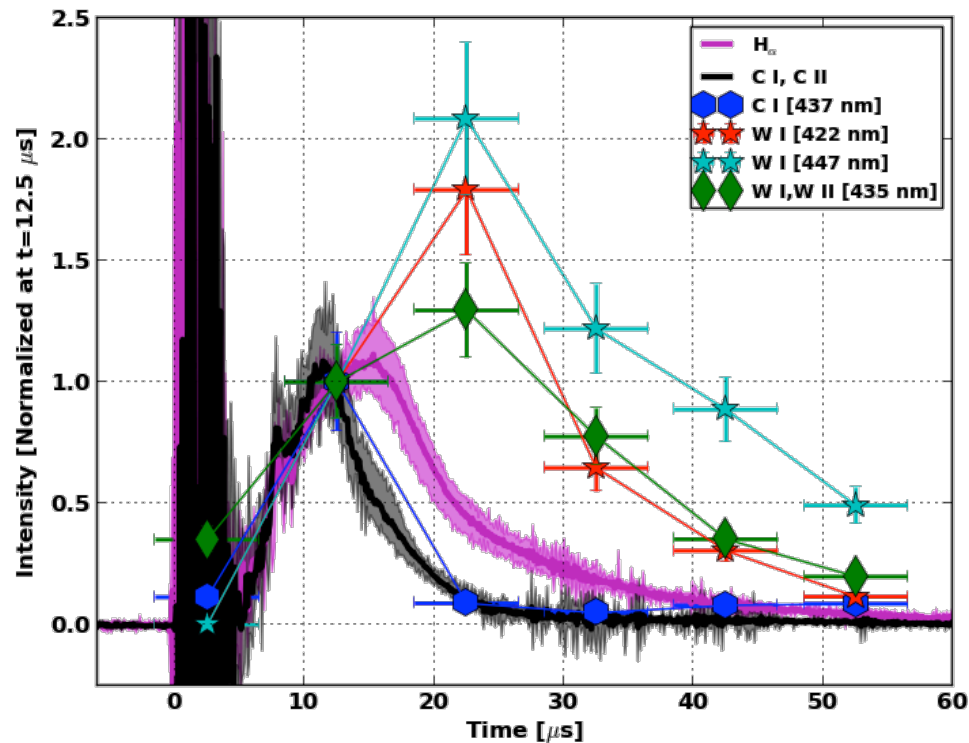
# Time averaged plasma images



High  $\beta$  cusp formation: intense plasma in the core region



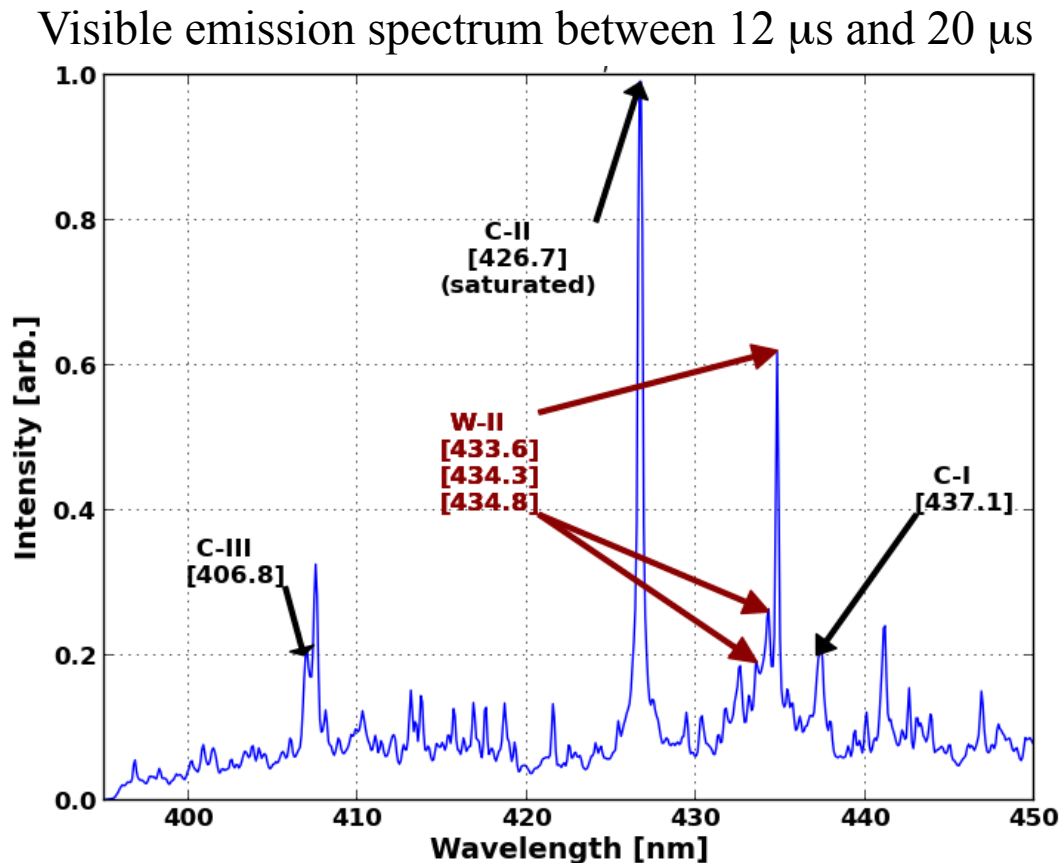
# Time resolved spectroscopy on W-impurity



Tungsten cathode  
after 200 shots

- Line emission intensities from main ion species (H and C) decay early
  - Despite plasma density decay (& cooling of plasma), Tungsten line intensities peak later in time and decay slowly --> indicates gradual build up of Tungsten impurity.
- > **x-ray peak late in the shot (40-50  $\mu$ s) is from e-bam interaction with Tungsten**

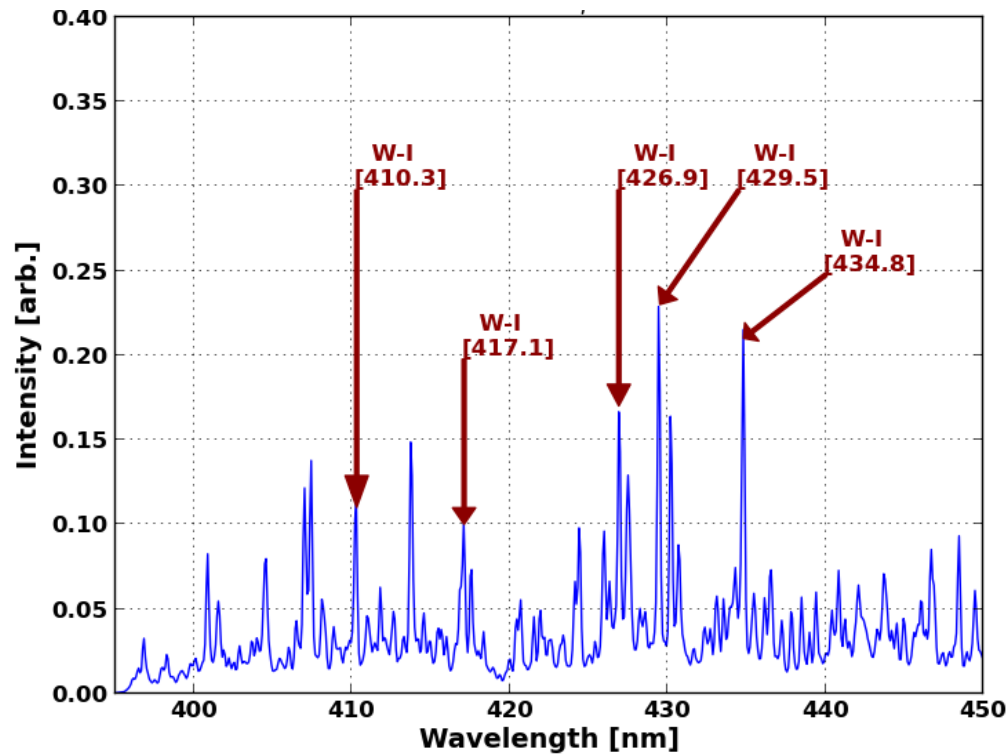
# Time resolved spectroscopy for impurity transport



**During the high  $\beta$  phase, plasma emission shows strong  $\text{C}^+$  lines & presence of  $\text{W}^+$  lines**  
(Note that avg.  $n_e \sim 1.5 \times 10^{16} \text{ cm}^{-3}$  and  $T_e \sim 10 \text{ eV}$  during this period)

# Time resolved spectroscopy (cont.)

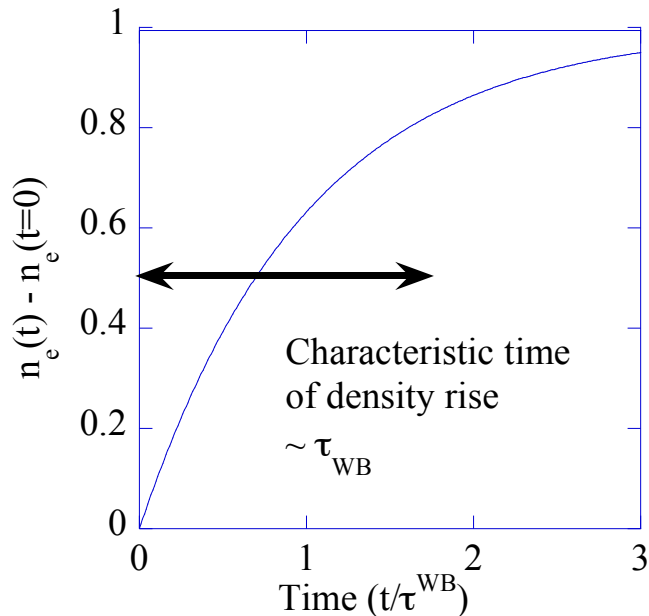
Visible emission spectrum between 42  $\mu\text{s}$  and 50  $\mu\text{s}$



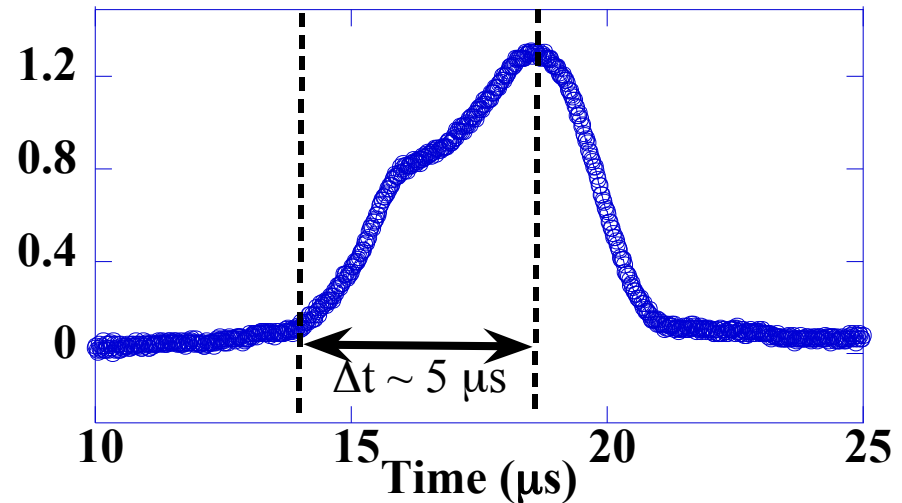
At later time, plasma emission is dominated by W neutral lines, while  $\text{C}^+$  and  $\text{W}^+$  lines disappear  
(Note that avg.  $n_e \sim 0.2 \times 10^{16} \text{ cm}^{-3}$  and  $T_e < 10 \text{ eV}$ )

# Estimate of High $\beta$ Confinement Time

Theoretical model  
to estimate high  $\beta$  confinement time



Experimental results  
Shot 15640



- Note the shape of x-ray intensity profile: a gradual rise and a rapid drop
- From time response of x-ray signal  $\rightarrow \tau > 2.5 \mu s$  ( $2 \times \tau \sim$  x-ray signal rise time)
- **$2.5 \mu s$  is about  $\sim 50$  times better than low  $\beta$  cusp confinement time**
- The observed confinement enhancement is very significant and compares well with the theoretically predicted high  $\beta$  cusp confinement time by Grad and his team

# Unresolved issues on high $\beta$ cusp

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## 1. Decay of good confinement phase

- Decay mechanism: plasma loss/plasma cooling or magnetic field diffusion or something else
- How to extend high  $\beta$  state and prevent the decay

## 2. Topological information on cusp magnetic fields during high $\beta$ state

- Thickness of transition layer
- Magnetic field lines near the cusp openings